

## Graph Isomorphism course, Spring 2017

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## 14 Day 14, ThWk7

### 14.1 Semidirect products

**Definition 14.1** (Direct product, external characterization). The **direct product of groups**  $G$  and  $H$  is  $G \times H = \{g \in G, h \in H\}$  with componentwise operations<sup>1</sup>, i.e.,  $(g_1, h_1) \cdot (g_2, h_2) = (g_1 g_2, h_1 h_2)$ .

**Definition 14.2** (Direct product, internal characterization). The **internal characterization of the direct product**: Let  $L, M \leq G$ . We say that  $G = L \times M$  if  $L, M \triangleleft G$ ,  $L \cap M = 1$  and  $LM = G$ .

**DO 14.3** (Internal  $\rightarrow$  external). If  $G, L, M$  satisfy the internal characterization, then  $L \times M \cong G$  via the correspondence  $(\ell, m) \mapsto \ell m$ .

**DO 14.4** (External  $\rightarrow$  internal). If  $G = L \times M$  according to the external characterization, then the subgroups  $L_1 = L \times \{1_M\}$  and  $M_1 = \{1_L\} \times M$  satisfy the internal characterization, i.e.,  $L_1, M_1 \triangleleft G$ ,  $L_1 \cap M_1 = 1$ , and  $L_1 M_1 = G$ . Moreover,  $L_1 \cong L$ ,  $M_1 \cong M$ , and  $L_1 \times M_1 \cong G$  via the correspondence  $(\ell_1, m_1) \mapsto \ell_1 m_1$  (where  $\ell_1 \in L_1$  and  $m_1 \in M_1$ ).

The notion of **semidirect products** generalizes direct products.

**Definition 14.5** (Semidirect product, internal characterization). The group  $G = N \rtimes R$  is the **semidirect product of  $N$  and  $R$**  if  $N \triangleleft G$ ,  $R \leq G$ ,  $NR = G$  and  $N \cap R = 1$ . (Note: if  $N \triangleleft G$  and  $R \leq G$ , then  $NR \leq G$  because  $NR = RN$ .)

Notice that the notion of semidirect products includes direct products.

**DO 14.6.** If  $G = N \rtimes R$  then  $G/N \cong R$ . In particular,  $|G| = |N| \cdot |R|$ .

**DO 14.7.** The semidirect product  $G$  is not determined up to isomorphism by  $N$  and  $R$ . Show that both  $S_3$  and  $\mathbb{Z}_6$  are semidirect products of a normal subgroup  $N \cong \mathbb{Z}_3$  and a subgroup  $R \cong \mathbb{Z}_2$ .

**Definition 14.8** (Semidirect product, external characterization). Given groups  $N, R$  and a homomorphism  $\alpha : R \rightarrow \text{Aut}(N)$ , we can define  $N \rtimes_\alpha R$  as the group containing elements  $\{(n, r) : n \in N, r \in R\}$  under the operation of  $(n_1, r_1) \cdot (n_2, r_2) := (n_1 n_2^{\alpha^{-1}(r_1)}, r_1 r_2)$ .

**DO 14.9** (Internal  $\rightarrow$  external). If  $G = N \rtimes R$  (internal characterization) then  $G$  and in particular,  $R$  acts on  $N$  via conjugation. This defines a homomorphism  $\alpha : R \rightarrow \text{Aut}(N)$  by  $\alpha(r) : n \mapsto r^{-1} n r$ . Prove:  $N \rtimes_\alpha R \cong G$  via the correspondence  $(n, r) \mapsto nr$ .

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<sup>1</sup>The notation  $G \times H$  is overloaded, used as both the direct product of sets and the direct product of groups.

**DO 14.10** (External  $\rightarrow$  internal). If  $G = N \rtimes_{\alpha} R$  according to the external characterization, then the subgroups  $N_1 = N \times \{1_R\}$  and  $R_1 = \{1_N\} \times R$  satisfy the internal characterization, i.e.,  $N_1 \triangleleft G$ ,  $R_1 \leq G$ ,  $N_1 \cap R_1 = 1$ , and  $N_1 R_1 = G$ . Moreover,  $N_1 \cong N$ ,  $R_1 \cong R$ , and  $N_1 \rtimes_{\alpha_1} R_1 \cong G$  via the correspondence  $(n_1, r_1) \mapsto n_1 r_1$  (where  $n_1 \in N_1$  and  $r_1 \in R_1$ ) where we define  $\alpha_1 : R_1 \rightarrow \text{Aut}(N_1)$  by  $n_1^{\alpha_1(r_1)} = (n^{\alpha(r)}, 1_R)$  where  $n_1 = (n, 1_R)$  and  $r_1 = (1_N, r)$ .

**DO 14.11.** Under what homomorphism  $\alpha$  is  $N \rtimes_{\alpha} R = N \times R$ ?

## 14.2 Wreath products

Consider a graph  $X$  with connected components  $X = X_1 \sqcup \cdots \sqcup X_k$ . Suppose  $\text{Aut}(X_i)$  and  $\text{ISO}(X_i, X_j)$  are given and we are interested in finding  $\text{Aut}(X)$ .

First suppose that all components are isomorphic. Then, automorphisms of  $X$  can be obtained by applying an automorphism of each component separately and independently, and then permuting the components. More specifically,  $\text{Aut } X = (\text{Aut } X_1)^k \rtimes_{\alpha} S_k$  where, for  $\sigma \in S_k$ , the automorphism  $\alpha(\sigma)$  of  $(\text{Aut } X_1)^k$  acts by permuting the components according to  $\sigma$ .

**Definition 14.12** (Wreath products). The **wreath product** of a group  $L$  by a permutation group  $M \leq S_k$  is given by  $L \wr M := (L^k) \rtimes M$ , where the action  $M \curvearrowright L^k$  is performed by permuting the  $k$  coordinates under the given  $M \curvearrowright [k]$  action.

**DO 14.13.**  $|L \wr M| = |L|^k \cdot |M|$ . Reminder:  $L^k \triangleleft L \wr M$  and  $G/L^k \cong M$ .

Assume  $L \leq S_t = \text{Sym}(\Omega)$  and  $M \leq S_k$ . We now describe some natural actions by  $L \wr M$ .

**Definition 14.14** (Sum action). The **sum (union) action**  $L \wr M \curvearrowright k \cdot \Omega$  is the embedding  $L \wr M \rightarrow S_{kt}$  described as follows. First,  $L^k$  acts on  $k \cdot \Omega$  by the  $i$ -th copy of  $L$  acting on the  $i$ -th copy of  $\Omega$ . Elements of  $M$  acts on  $k \cdot \Omega$  by permuting the copies.

**DO 14.15.** The sum action is transitive if and only if  $L$  and  $M$  are both transitive. The sum action is primitive if and only if  $(k = 1 \text{ and } L \text{ is primitive})$  OR  $(t = 1 \text{ and } M \text{ is primitive})$ .

**DO 14.16.** Prove: the Sylow  $p$ -subgroup of  $S_{p^2}$  is  $\mathbb{Z}_p \wr \mathbb{Z}_p$  in the sum action. What is the Sylow  $p$ -subgroup of  $S_{p^3}$ ?

**Definition 14.17** (Product action). The **product action**  $L \wr M \curvearrowright \Omega^k$  is described as follows. The action of  $\tau = (\tau_1, \dots, \tau_k) \in L^k$  on  $x = (x_1, \dots, x_k) \in \Omega^k$  is the componentwise action given by  $x^{\tau} = (x_1^{\tau_1}, \dots, x_k^{\tau_k})$ . The action of  $\sigma \in M$  on  $x = (x_1, \dots, x_k) \in \Omega^k$  permutes the coordinates by  $x^{\sigma} = (x_{1\sigma^{-1}}, \dots, x_{k\sigma^{-1}})$ .

When speaking of the product action, we shall tacitly assume  $|\Omega| \geq 2$ .

**DO 14.18.** (a) If  $L$  is transitive then the  $L^k \curvearrowright \Omega^k$  action is transitive. (b) The product action is transitive if and only if  $L$  is transitive. (c) If the product action is primitive, then  $L$  is primitive.

**HW 14.19.** If the product action is primitive, then  $M$  is transitive.

Even if both  $L$  and  $M$  are primitive, it does not follow that the product action is primitive, as the following example shows.

**DO 14.20.** The product action of  $\mathbb{Z}_p \wr S_k$  (on  $p^k$  elements) is imprimitive.

But primitivity does follow if we exclude the case  $L = \mathbb{Z}_p$ . If  $L$  is primitive and  $M$  is transitive then the product action is primitive unless  $|\Omega| = p$  is a prime and  $L = \mathbb{Z}_p$ .

### 14.3 Large primitive groups

► Johnson groups:  $S_k^{(t)} \cong S_k$  acting on  $\binom{k}{t}$  with  $k \geq 2t + 1$ . These groups have order around  $n^{k/t} \approx \exp(n^{1/t}/t \cdot \ln n) \approx \exp(n^{1/t})$ . For bounded  $t$  this is “moderately exponential,” which is too big for Luks’s method, naively implemented, to work in quasi-polynomial time.

► Hamming groups:  $S_k \wr S_t$  acting via the product action on  $k^t$  elements. Now,  $n = k^t$ . These groups have order  $|S_k \wr S_t| = (k!)^t \cdot (t!) \approx k^{kt} t^t = (k^k t)^t = n^{k/t} t^t \approx \exp(n^{1/t})$ .

► Johnson-Hamming hybrids:  $S_k^{(\ell)} \wr S_t$  acts on  $\binom{\Omega}{\ell}^t$ , where  $|\Omega| = k$ . Now,  $n = \binom{k}{\ell}^t \approx k^{\ell t}$ . These groups have order  $|S_k^{(\ell)} \wr S_t| = (k!)^t (t!) \approx k^{kt} t^t \approx n^{k/\ell} \approx n^{n^{1/t\ell}/\ell} \approx \exp(n^{1/t\ell})$ , again too large if  $t$  and  $\ell$  are bounded.

**Theorem 14.21** (Cameron (1981), refined by Attila Maróti (2015)). *If  $G \leq S_n$  is primitive and  $|G| \geq n^{1+\log_2 n}$  and  $n \geq 25$ , then*

$$(\exists k, t, \ell) \left( n = \binom{k}{\ell}^t \text{ and } (A_k^{(\ell)})^t \leq G \leq S_k^{(\ell)} \wr S_t \text{ in the product action} \right)$$

The above theorem relies heavily on CFSG. In the equation above,  $(A_k^{(\ell)})^t$  is the socle  $\text{Soc}(G)$  of  $G$  and  $G/\text{Soc}(G)$  is a transitive subgroup of  $S_t$ .

**Definition 14.22** (Socle). The **socle** of a group  $G$  is the product of all its minimal normal subgroups,  $\text{Soc}(G) = \prod M_i$  for  $M_i \triangleleft_{\min} G$ .

**DO 14.23.**  $\text{Soc}(G) \text{ char } G$ .

The following exercises give some basic information toward this theorem.

**DO 14.24.** (a) If  $G \leq S_n$  is primitive, then it has  $\leq 2$  minimal normal subgroups. (b) If there are 2 minimal normal subgroups, then both are regular and therefore  $|G| \leq n^{1+\log_2 n}$ .

Therefore, if  $|G| > n^{1+\log_2 n}$  then  $\text{Soc}(G)$  is the unique minimal normal subgroup; it is therefore characteristically simple, so  $\text{Soc}(G) \cong T^t$  for some simple group  $T$ . Note that  $T^t$  is transitive (because it is a nontrivial normal subgroup of a primitive group).

**DO 14.25.** Prove: the simple group  $T$  is not abelian. (Hint: if  $T$  is abelian then  $T^t$  is transitive abelian and therefore regular, hence again  $|G| \leq n^{1+\log_2 n}$ .)

This gives the link to the CFSG: we need to distinguish cases according to the nonabelian finite simple group  $T$ . One can show that for Lie-type  $T$  we still have  $|G| < n^{1+\log_2 n}$ , so that leaves the alternating groups  $T \cong A_k$  for some  $k$ . The analysis of this case is elementary, based on the classification of subgroups of less than exponential index in  $S_k$ .

### 14.4 L<sup>A</sup>T<sub>E</sub>X

The L<sup>A</sup>T<sub>E</sub>X code for semidirect product is `\rtimes` and for wreath product `\wr`.

### 14.5 Administrative

Quiz (20 minutes) next Tuesday. Exam on Tuesday Week 10. Grades returned Thursday Week 10.

Review past DO and HW and THM.