

PROBLEM SESSION

2023-12-01

1

$$16.27 (a) \alpha(G) = 1 \Leftrightarrow G \cong K_n$$

i.e.

$$\alpha(G) \neq 1 \Leftrightarrow G \not\cong K_n$$

* \Uparrow

$$\alpha(G) \geq 2$$

\Uparrow

$$\exists S \subseteq V, |S|=2, \text{ indep.}$$

\Uparrow

$$(\exists u \neq v)(u \sim v)$$

\Uparrow

G is not complete

$$\begin{array}{l} 1 \leq \alpha(G) \leq n \\ \text{Pf } \uparrow \\ (\forall v \in V)(\{v\} \text{ indep}) \\ A \text{ indep: } A \subseteq V \end{array}$$

16.27(b) $\alpha(G) = n \Leftrightarrow G \cong \overline{K_n}$



\exists indep set $S \subseteq V$
of size n



V is indep



Greedy indep. set algorithm

A : potential indep set

$A := \emptyset$ // initialization

(*) 1. for $v \in V$ // V is an ordered set

2. for $w \in A$

3. if $v \sim w$ then

4. goto (*)

// next v

explore v

5. end(for)

6. $A := A \cup \{v\}$ // add v to A

7. end(for)

8. return A

if $(\forall w \in A)(v \not\sim w)$ then

Proof of correctness

① Claim A is independent throughout the algorithm

Lemma

$$A_0 \subseteq A_1 \subseteq \dots \subseteq A_n$$

Pf Steps $0, 1, \dots, n$

$A_i := "A" \text{ after Step \#} i$

$A_0 = \emptyset$ (initialization) indep ✓

Now $i \geq 1$

IH A_{i-1} indep

DC A_i indep

Pf if $A_i = A_{i-1}$ ✓

o/w $A_i = A_{i-1} \cup \{v_i\}$

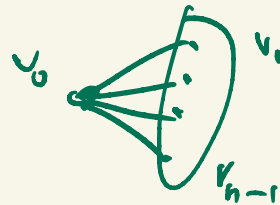
but $(\forall w \in A_{i-1})(v_i \neq w)$ b/c lines 3-6 ✓

② Claim A is maximal indep

Pf. Suppose $v_i \notin A$ NTS $A \cup \{v_i\}$ not indep

cf. $v_i \notin A \Rightarrow v_i \notin A_i$ why? b/c lines 3-5 exited in round #i b/c $\exists w \in A_{i-1} \subseteq A$ $v_i \sim w$
 $\therefore \{v_i\} \cup A$ not indep

16.29 (a) find conn. G s.t. $\alpha(G) = n-1$



— we construct G

Pf of correctness: ① $\alpha(G) < n$ b/c $G \neq \overline{K_n}$

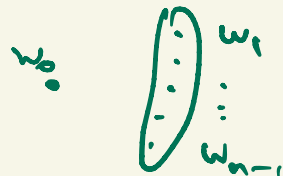
② $\alpha(G) \geq n-1$ b/c $\{v_1, \dots, v_{n-1}\}$ indep

(b) This example is the only example up to isomorphism

i.e. If H conn., $\alpha(H) = n-1$ then $H \cong G$

Pf $H \leftarrow$ given to us by adversary

$\alpha(H) = n-1 \therefore \exists S \subseteq V, |S| = n-1, \text{ indep}$



$\{w_1, \dots, w_{n-1}\}$ indep.

Claim $(\forall 1 \leq i \leq n-1) (w_0 \sim w_i)$

Pf: otherwise we had $\deg(w_i) = 0$ isolated

Let $f(w_i) = v_i$ this is an isomorphism (check!) $\rightarrow H \cong G$ conn.
 $\therefore G \cong H$ \leftarrow we looked at each pair of vertices

(6)

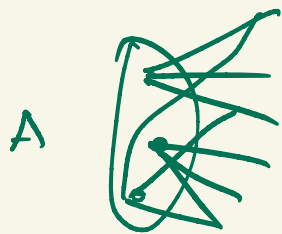
16.39 If G regular and $E \neq \emptyset$
then $\alpha(G) \leq \lfloor \frac{n}{2} \rfloor$

Pf. reg of degree d

$$\therefore \underline{m = |E| = \frac{dn}{2}}$$

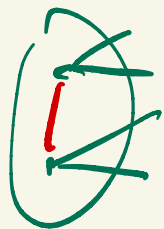
Handshake
Thm

Let $A \subseteq V$ be indep.



all the $d \cdot |A|$ edges leaving A
are distinct b/c A is indep

$$\therefore \underline{m \geq d \cdot |A|}$$



$$\therefore d|A| \leq m \leq d \cdot \frac{n}{2}$$

$$\therefore \underline{|A| \leq \frac{n}{2}}$$

$$\therefore \underline{|A| \leq \lfloor \frac{n}{2} \rfloor}$$

16.41 (a) $\alpha(G) \cdot \chi(G) \geq n$

Pf $k := \chi(G)$ take a k -coloring of $G: f: V \rightarrow [k]$

color classes: $V_1 \dots V_k$ they partition V

$\therefore n = |V| = |V_1| + \dots + |V_k|$ $V_i = f^{-1}(i)$

each V_i is indep by def of legal coloring

$\therefore |V_i| \leq \alpha(G)$

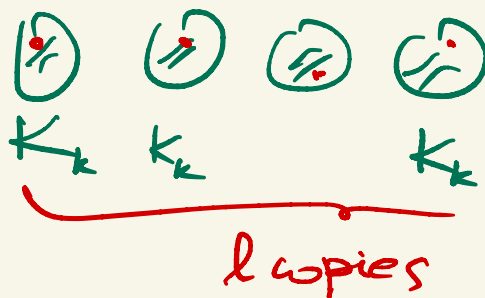
$\therefore n = \sum_{i=1}^k |V_i| \leq \sum_{i=1}^k \alpha(G) = k \cdot \alpha(G) = \alpha(G) \cdot \chi(G)$

(b) tight: if $n = k \cdot l$ then $(\exists G)(\chi(G) = k, \alpha(G) = l)$

Pf $G = l \cdot K_k$

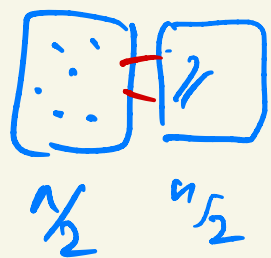
$\chi(K_k) = k$ $\alpha(G) \geq l$

$\therefore \chi(G) = k$ $\alpha(G) \leq l$ PHP
NTS + indep set
has size $\leq l$



Lemma
 $G = H \dot{\cup} L$
then
 $\chi(G) = \max\{\chi(H), \chi(L)\}$
 $\ominus \oplus$

(c) Find G_n s.t. $\alpha(G_n) \cdot \chi(G_n) = \Omega(n^2)$



WLOG

wrong if $k < l$

$G = K_k \square K_l$ works for $k \geq l$

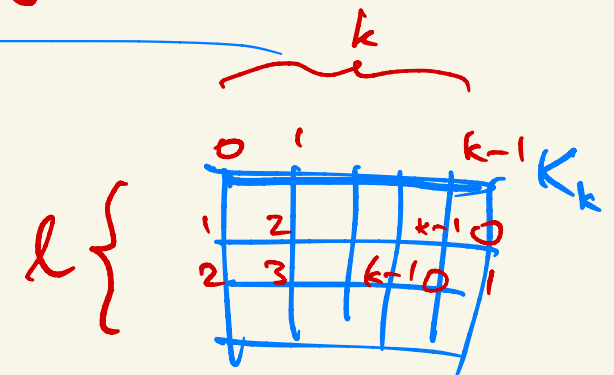
$\chi(G) \geq k$ b/c $G \supseteq K_k$

$\chi(G) \leq k$ NTS G is k -colorable
need to find a k -coloring

$\alpha(G) \leq l$ NTS \forall indep set has size $\leq l$
PHP pigeon holes: rows

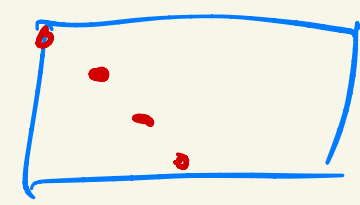
$\alpha(G) \geq l$

need $l \leq k$: diagonal is independent



$$V = \{0, \dots, k-1\} \times \{0, \dots, l-1\}$$

$$c(i, j) = i + j \pmod k$$



16.71 UNION BOUND $P\left(\bigcup_{i=1}^k A_i\right) \leq \sum_{i=1}^k P(A_i)$

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Pf 1 induction on k

$$k=2 \quad P(A_1 \cup A_2) = P(A_1) + P(A_2) - P(A_1 \cap A_2) \leq \dots$$

Assume $k \geq 3$

Ind. Hyp: true for all $k' < k$

Pf for k : Let $B = A_1 \cup \dots \cup A_{k-1}$

$$P\left(\bigcup_{i=1}^k A_i\right) = P(B \cup A_k) \leq P(B) + P(A_k) \leq \sum_{i=2}^{k-1} P(A_i) + P(A_k) = \sum_{i=1}^k P(A_i)$$

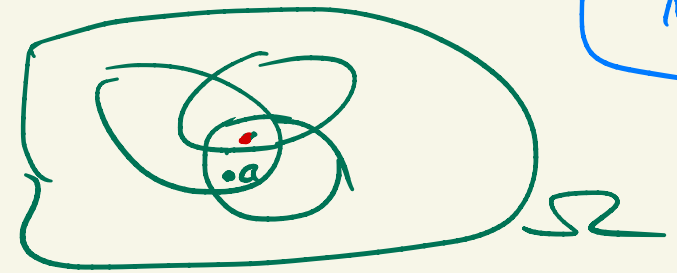
\uparrow I.H. $k'=2$ \uparrow I.H. $k'=k-1$



UNION BOUND

Pr #2

$$P(A_i) = \sum_{a \in A_i} P(a)$$



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$$\sum_{i=1}^k P(A_i) = \sum_{i=1}^k \sum_{a \in A_i} P(a) = \sum_{a \in \Omega} P(a) \cdot \text{deg}(a) =$$

$$|| \{ \{i \mid a \in A_i\} \} ||$$

$$\sum_{\deg(a) \geq 1} P(a) \cdot \deg(a) \geq \sum_{\substack{a \in \Omega \\ \deg(a) \geq 1}} P(a) = P\left(\bigcup_{i=1}^k A_i\right)$$



$$(\forall a \in \Omega) (\deg(a) \geq 1 \Leftrightarrow a \in \bigcup_{i=1}^k A_i)$$

16.49

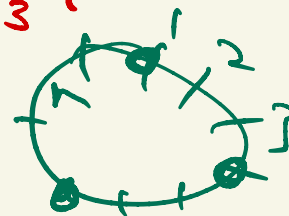
DEF $\beta(G) = \text{min size of}$
maximal indep. sets

$$\beta(C_n) = \lceil \frac{n}{3} \rceil$$

$$\textcircled{1} \beta(C_n) \leq \lceil \frac{n}{3} \rceil$$

NTS: \exists maximal indep set
of size $\leq \lceil \frac{n}{3} \rceil$

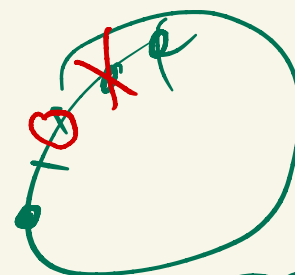
lazy alg.
finds it



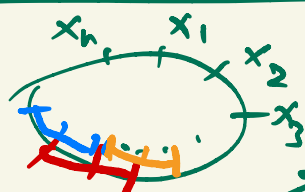
$$\textcircled{2} \beta(C_n) \geq \lceil \frac{n}{3} \rceil$$

NTS: \forall maximal indep set
has size $\geq \frac{n}{3}$

Pf 1: every interval def'd by A
has ≤ 3 edges \therefore #intervals $\geq \frac{n}{3}$



Pf 2:



n inequalities
add up all

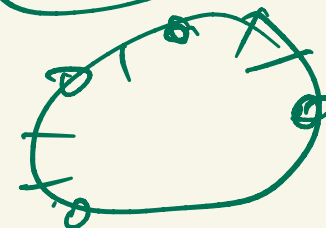
$$x_i = \begin{cases} 1 & \text{if } i \in A \\ 0 & \text{o/w} \end{cases}$$

$$x_i + x_{i+1} + x_{i+2} \geq 1$$

$$3 \cdot \sum x_i \geq n$$

$$|A| = \sum x_i$$

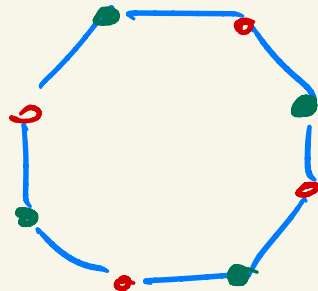
indices
mod n



16.51 (a) Count maximum indep sets in C_n

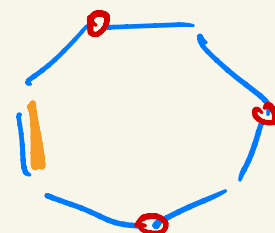
$$\alpha(C_n) = \lfloor \frac{n}{2} \rfloor$$

n even: $\alpha = \frac{n}{2}$



$\# = 2$

n odd: $\alpha = \frac{n-1}{2}$



every maximum indep set misses an edge

$\# = n$

bijection between edges and maximum indep sets

(b) $O(n)$? \times

$2 = O(n)$ $n = O(n)$

implied
const.
 $C=1$

$\Theta(n)$? N

b/c not $\Omega(n)$

b/c n is not $O(2)$



16.65

$$B = \{0, 1\}$$

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B^n = all Boolean strings of length n

$$s \in B^n$$

$$k(010110) = 4$$

DEF

$$f(s) := p^{k(s)} (1-p)^{n-k(s)} \quad \text{where } \underbrace{k(s)}_{\text{weight}} = \# \text{ 1s in } s$$

Claim f is a prob distrib on B^n

① $(\forall s \in B^n) (f(s) \geq 0)$ ✓

② NTS $\sum_{s \in B^n} f(s) = 1$

$$|B^n| = 2^n$$

$$|\{s \in B^n \mid k(s) = t\}| = \binom{n}{t}$$

$$\begin{aligned} \therefore \sum_{s \in B^n} f(s) &= \sum_{t=0}^n \sum_{\substack{s \in B^n \\ k(s)=t}} f(s) = \sum_{t=0}^n \binom{n}{t} p^t (1-p)^{n-t} = (p + (1-p))^n = 1^n = 1 \end{aligned}$$

\downarrow
 $p^t (1-p)^{n-t}$

16.67 # trivial events is a power of 2

$$P(\bar{D}) = 1 - P(D)$$

$$\begin{array}{ccc} P & \emptyset & \Omega \\ & \cup & \downarrow \\ & & 1 \end{array}$$

$$\text{Let } A = \{x \in \Omega \mid P(x) = 0\}$$

$$k := |A|$$

$$\text{Let } B \subseteq \Omega.$$

$$P(B) = 0 \iff B \subseteq A$$

$$\therefore \# \text{ events of prob zero is } 2^k = |P(A)|$$

$$C \subseteq \Omega$$

$$[P(C) = 1 \iff C \supseteq \bar{A}]$$

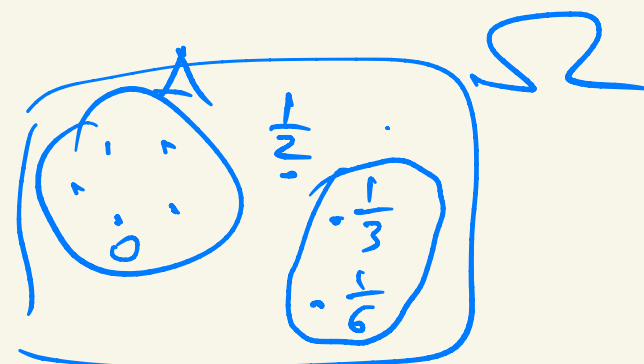
$$P(C) = 1 \iff P(\bar{C}) = 0$$

bijection between
events of prob 1 and
" of prob 0

$$C \mapsto \bar{C}$$

$$\therefore \# \text{ events of prob 1 is } 2^k$$

$$\begin{array}{l} \# \text{ trivial events is} \\ 2^k + 2^k = \underline{\underline{2^{k+1}}} \end{array}$$



17.29

3 dice

17.33 prob of causes (W , unif.) W = population of patient with the symptom A : suffers from disease A B : B C : something else $\{A, B, C\}$

↓

0.7...

17.37 independence of complement

ACSP A, B indep
 DC \bar{A}, B indep

$$P(A \cap B) = P(A) \cdot P(B)$$

$$P(\bar{A} \cap B) \stackrel{?}{=} P(\bar{A}) \cdot P(B) \leftarrow$$

$$\stackrel{?}{=} (1 - P(A)) \cdot P(B) \leftarrow$$

$$\checkmark B = (A \cap B) \cup (\bar{A} \cap B)$$

↑
 disjoint
 b/c
 $A \cap B \subseteq A$
 $\bar{A} \cap B \subseteq \bar{A}$] disjoint

$$P(B) = P(A \cap B) + P(\bar{A} \cap B)$$

$$\underline{P(B) - P(A \cap B) \stackrel{?}{=} (1 - P(A))P(B) =}$$

$$\underline{= P(B) - P(A) \cdot P(B)}$$

$$\text{they are equal} \Leftrightarrow P(A \cap B) = P(A) \cdot P(B)$$

b/c distributivity:

$$(A \cap B) \cup (\bar{A} \cap B) = (A \cup \bar{A}) \cap B = \Omega \cap B = B$$

↑
 true by ASSN. ✓

17.41 die < odd $\frac{1}{2}$ } both:
 square $\frac{1}{3}$ } $\frac{1}{6}$ so indep ✓

17.43 $|\Omega| = p$ uniform

\Rightarrow no nontriv indep events

17

17.49 Suppose $A \subseteq B$ and A, B indep. Then A or B triv.

$$\text{Pf } A \subseteq B \Leftrightarrow A = A \cap B$$

$$P(A) = P(A \cap B) = P(A) \cdot P(B)$$

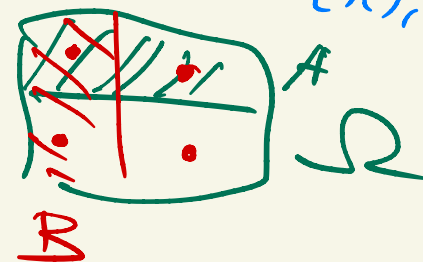
$$P(A)(1 - P(B)) = 0$$

$$\therefore P(A) = 0 \text{ or } 1 - P(B) = 0 \quad \checkmark$$

17.45 A, B nontriv. indep $\Rightarrow |\Sigma| \geq 4$

$$\begin{array}{lll} \text{Pf } A, B \text{ indep} & \Rightarrow & P(A \cap B) = P(A)P(B) > 0 \quad 0 < P(A), P(B) < 1 \\ \bar{A}, B & \text{"} & P(\bar{A} \cap B) > 0 \quad 0 < P(\bar{A}), P(B) < 1 \\ A, \bar{B} & \text{"} & P(A \cap \bar{B}) > 0 \\ \bar{A}, \bar{B} & \text{"} & P(\bar{A} \cap \bar{B}) > 0 \end{array}$$

These 4 intersections are disjoint
E.g. $(\bar{A} \cap \bar{B}) \cap (\bar{A} \cap B) \subseteq \bar{B} \cap B = \emptyset$



17.51 if $A \cup B$ and $A \cap B$ are indep

$\nRightarrow A$ or B trivial

NO PI $\Omega = \{1, 2\}$ $A = \{1\}$ $B = \{2\}$

counterexample

unif

$A \cap B = \emptyset$, A, B nontrivial

17.61 If A, B, C indep then $A \cup B$ and C indep

17.101 $\min X \leq E(X) \leq \max X$

$$E(X) = \sum_{a \in \Omega} X(a) \cdot P(a) \geq \sum_{a \in \Omega} (\min X) \cdot P(a) = \min X \cdot \underbrace{\sum_{a \in \Omega} P(a)}_1 = \min X$$

b/c $P(a) \geq 0$

$E(X) \leq \max X$ — same argument

OR $E(X) = -E(-X) \leq \max X$

$$E(-X) \geq \min(-X) = -\max X$$

17.117 envelopes randomly stuffed

$\Omega := \{\text{all permutations of the envelopes}\}$

$$J_i = \begin{cases} 1 & \text{if } i^{\text{th}} \text{ letter goes in right envelope} \\ 0 & \text{o/w} \end{cases}$$

$X = \sum J_i = \# \text{ letters that go into right envelope}$

$$E(X) = \sum E(J_i) = \sum P(\underbrace{J_i = 1}_{\substack{i^{\text{th}} \text{ letter goes} \\ \text{into right env.}}}) = n \cdot \frac{1}{n} = \underline{\underline{1}}$$

by symmetry $P(J_i = 1) = \frac{1}{n}$

2nd proof that $P(J_i = 1) = \frac{1}{n}$:

$$\underline{\underline{\frac{(n-1)!}{n!} = \frac{1}{n}}}$$

17.113 E # Aces, # Spades in poker hand

\mathcal{I}_i : indicator that i^{th} card is an Ace / Spade
 $i=1, \dots, 5$

$$X = \begin{matrix} \text{\# Aces} \\ \text{\# Spades} \end{matrix} = \sum_{i=1}^5 \mathcal{I}_i$$

$$E(X) = \sum_{i=1}^5 E(\mathcal{I}_i) = \sum P(\mathcal{I}_i = 1)$$

by symmetry

$$P(i^{\text{th}} \text{ card Ace}) = \frac{4}{52} = \frac{1}{13}$$

$$P(i^{\text{th}} \text{ card Spade}) = \frac{13}{52} = \frac{1}{4}$$

$$\therefore E(\text{\# Aces}) = \frac{5}{13}$$

$$E(\text{\# Spades}) = \frac{5}{4}$$

~~$|S2| = \binom{52}{5}$~~ not for this proof

$$|S2| = 52 \cdot 51 \cdot 50 \cdot 49 \cdot 48$$

$$|\Omega| = \binom{52}{5}$$

$$j \in \{\spadesuit \heartsuit \diamondsuit \clubsuit\}$$



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Y_j = indicator that Ace of suit j is in the hand
 $j = 1, \dots, 4$

$$X = \sum_{j=1}^4 Y_j$$

$$E(X) = \sum_{j=1}^4 E(Y_j) = \sum_{j=1}^4 P(Y_j = 1) = 4 \cdot \frac{5}{52} = \frac{5}{13}$$

$$\frac{\binom{51}{4}}{\binom{52}{5}} = \frac{5}{52}$$

4 suits

Same for Spades

$$\sum_{i=1}^{13} \frac{5}{52} = 13 \cdot \frac{5}{52} = \frac{5}{4}$$

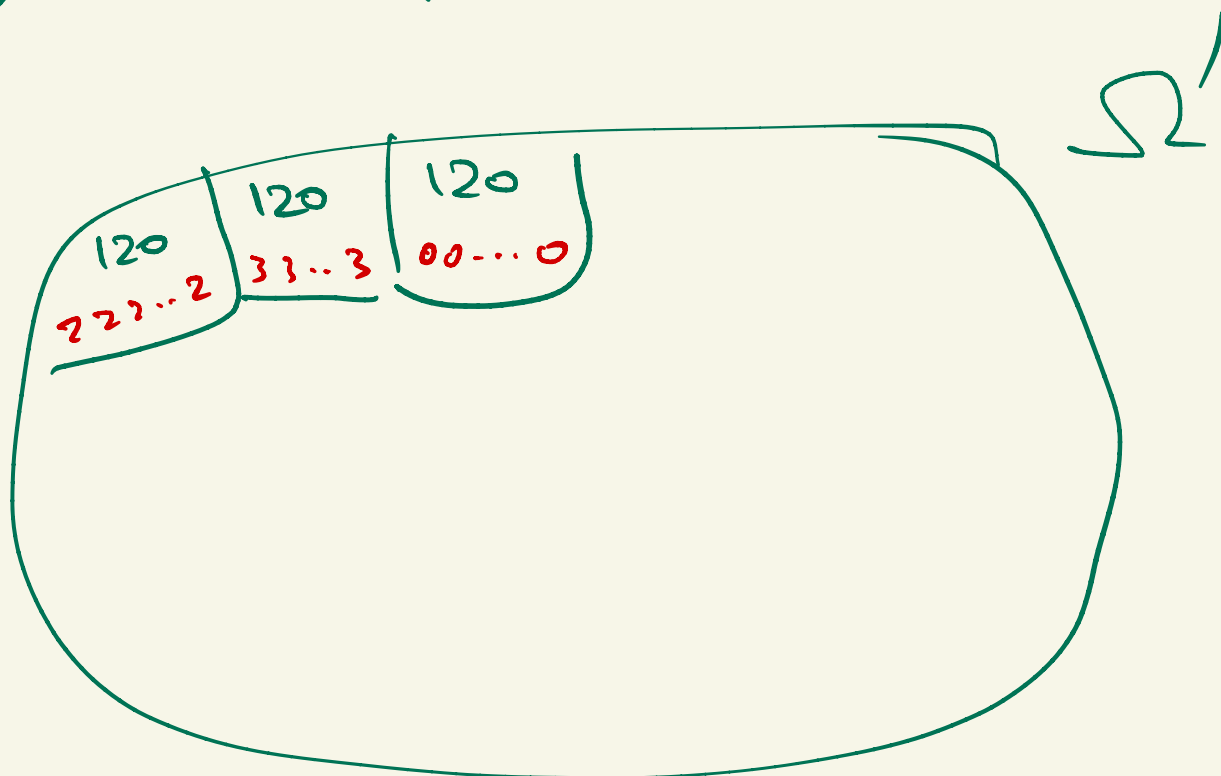
13 kinds

Compare the two sample spaces

$$|\Omega| = \binom{52}{5} \quad \text{set of poker hands}$$

$$|\Omega'| = 5! \binom{52}{5} \quad \text{" ordered poker hand}$$

equiv. rel. on Ω' :
same unordered set



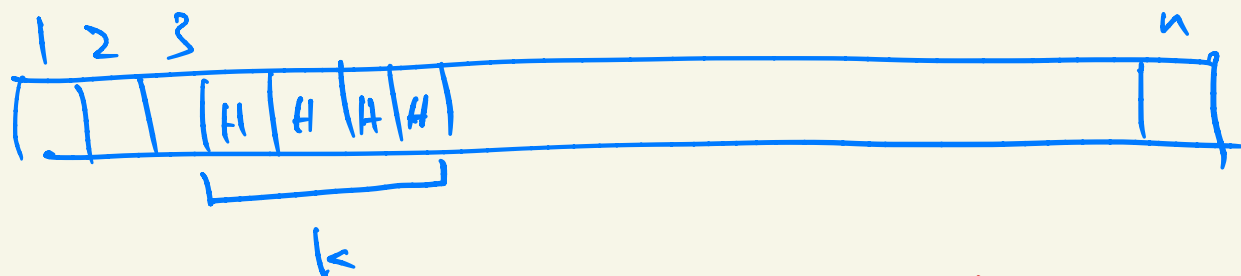
$$|\Omega| = \frac{1}{120} \cdot |\Omega'|$$

$X: \Omega' \rightarrow \mathbb{R}_0^+$
 X is constant on each equiv. class

17.115 $E(\# \text{runs of } k \text{ heads})$

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$$P(\text{heads}) = p$$



$$P(x_i = x_{i+1} = \dots = x_{i+k-1} = H) = p^k$$

$X = \# \text{runs of } k \text{ heads}$

$Y_i = \text{indicator that a run of } k \text{ heads starts at coin } \#i$

$$X = \sum_{i=1}^{n-k+1} Y_i$$

$$E(X) = \sum_{i=1}^{n-k+1} E(Y_i) = \sum_{i=1}^{n-k+1} P(Y_i = 1) = \underline{\underline{(n-k+1) \cdot p^k}}$$



17.119 Club of 2000

(24)

\mathcal{I}_i = indicator that i^{th} member is lucky

X = # lucky members = $\sum \mathcal{I}_i$

$$E(X) = \sum_{i=1}^{2000} E(\mathcal{I}_i) = \sum_{i=1}^{2000} \underbrace{P(i^{\text{th}} \text{ member is lucky})}_{\frac{1}{2000}} = 2000 \cdot \frac{1}{2000} = \underline{\underline{1}}$$

by symmetry $\frac{1}{2000}$

assuming

member is was born in $[2000]$

$$|\Omega| = 2000!$$

1st solution:

ψ_i = indicator that i^{th} card goes to lucky member

$$X = \sum_{i=1}^{2000} \psi_i$$

$$E(X) = \sum E(\psi_i) = \sum P(\psi_i = 1)$$

$$P(i^{\text{th}} \text{ card goes to lucky member}) = \frac{c_i}{2000}$$

where c_i = # members born in year i

$$\therefore E(X) = \sum \frac{c_i}{2000} = \frac{1}{2000} \sum_{i=1}^{2000} c_i = 1$$

b/c
vodka

→ 2000

15.155

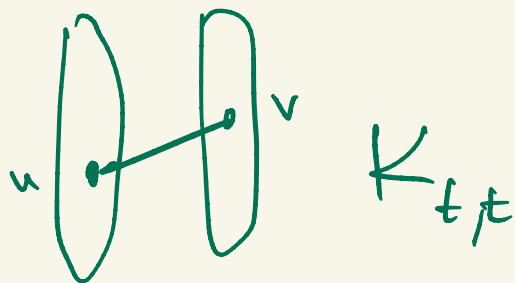
$$G \not\cong K_3 \quad u \sim v$$

$$\Rightarrow \underline{\deg u + \deg v \leq n}$$

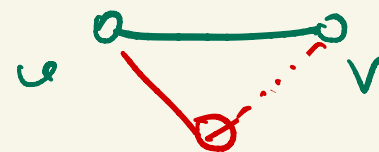
Pf $N(u) = \{w \in V \mid w \sim u\}$ set of neighbors of u

$$|N(u)| + |N(v)| = \underbrace{|N(u) \cup N(v)|}_{\subseteq V} + \underbrace{|N(u) \cap N(v)|}_0 \leq n$$

this is tight:



$$\deg(u) = \deg(v) = t = \frac{n}{2}$$



$$\frac{1+4+5+2}{4} = 3$$

$$\frac{\overbrace{1+\dots+1}^{120} + \overbrace{4+\dots+4}^{120} + \overbrace{5+\dots+5}^{120} + \overbrace{2+\dots+2}^{120}}{120 \cdot 4} = 3$$