

Selection by Recursively Enumerable Sets^{*}

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Abstract. For given sets A , B , and Z of natural numbers where the members of Z are z_0, z_1, \dots in ascending order, one says that A is selected from B by Z if $A(i) = B(z_i)$ for all i . Furthermore, say that A is selected from B if A is selected from B by some recursively enumerable set, and that A is selected from B in n steps iff there are sets E_0, E_1, \dots, E_n such that $E_0 = A$, $E_n = B$, and E_i is selected from E_{i+1} for each $i < n$.

The following results on selections are obtained in the present paper. A set is ω -r.e. if and only if it can be selected from a recursive set in finitely many steps if and only if it can be selected from a recursive set in two steps. There is some Martin-Löf random set from which any ω -r.e. set can be selected in at most two steps, whereas no recursive set can be selected from a Martin-Löf random set in one step. Moreover, all sets selected from Chaitin's Ω in finitely many steps are Martin-Löf random.

1 Introduction

Post [12] introduced various important reducibilities in recursion theory among which the one-one reducibility is the strictest one; here A is one-one reducible to B iff there is a one-one recursive function F such that $A(x) = B(F(x))$ for all x . In a setting of closed left-r.e. sets, Jain, Stephan and Teutsch [2] investigated a strengthening of one-one reductions where it is required in addition that F is strictly increasing or, equivalently, that F is the principal function of an infinite recursive set. The present paper relaxes the latter notion of reducibility and considers reductions given by principal functions of infinite sets that are recursively enumerable (r.e., for short).

Recall that the principal function of an infinite set Z is the strictly increasing function F such that Z can be written as $\{F(0), F(1), \dots\}$. In case for such Z

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and F some set A is reduced to some set B in the sense that $A(x) = B(F(x))$ for all x , this reduction could also be viewed as retrieving A from the asymmetric join B where the two “halves” of the join are not coded at the even and odd positions, respectively, of the join as usual but are coded into the positions that correspond to members and nonmembers, respectively, of the set Z .

Definition 1. A set A is selected from a set B by a set Z if Z is infinite and for the principal function F of Z it holds that $A(i) = B(F(i))$ for all i . A set A is selected from a set B , $A \sqsubset B$ for short, if A is selected from B by some r.e. set. Furthermore, say that A is selected from B in n steps iff there are sets E_0, E_1, \dots, E_n such that $E_0 = A$, $E_n = B$ and E_i is selected from E_{i+1} for each $i < n$.

The set B has selection rank n , if n is the maximum number such that some set A can be selected from B in n steps but not in $n - 1$ steps.

It makes sense to consider selection in several steps as the selection relation is not transitive: it follows by Theorems 14 and 15 that there is a Martin-Löf random set from which every recursive set can be selected in two steps but not in one step.

Note that for any infinite set Z , the principal function F of Z depends uniquely on Z . Furthermore, for a selection of A from B in n steps as in Definition 1, where F_m selects E_m from E_{m+1} , one can easily see that the function \tilde{F} given by

$$\tilde{F}(y) = F_{n-1}(F_{n-2}(\dots F_2(F_1(F_0(y))) \dots))$$

satisfies that $A(i) = B(\tilde{F}(i))$. However, since the selection relation is not transitive, in general, the range of \tilde{F} is not recursively enumerable and one cannot use the function \tilde{F} to select A from B in one step.

Early research in algorithmic randomness was formulated in terms of admissible selection rules. More precisely, given a certain way of selecting a subsequence from the characteristic sequence of a set, a set is called random iff all of its subsequences selected this way satisfy the condition in the law of large numbers that in the limit the frequencies of the symbols 0 and 1 are both equal to $1/2$ [6]. Furthermore, van Lambalgen’s Theorem [5] states that if one decomposes a set A by selecting along a recursive set and its complement into two infinite halves B_0 and B_1 then A is Martin-Löf random iff B_0 and B_1 are Martin-Löf random relative to each other. From this viewpoint it is natural to ask whether the choice of the selection along a recursive set can be generalised here to the choice along an r.e. set and how this is compatible with randomness notions. For notions whose definition involves the halting problem, in particular for Kurtz random relative to K , Schnorr random relative to K and Martin-Löf random relative to K , it can easily be shown that if B has one of these randomness properties and A is selected from B then A has also the same randomness property and that in the case of Martin-Löf randomness relative to K , one even gets the full equivalent of van Lambalgen’s Theorem.

These initial and obvious connections ask for deeper investigation in order to see how far these correspondences go and thus, one of the central questions

investigated in this paper is when random sets can be selected from extremely nonrandom ones and vice versa. Furthermore, the notion of ω -r.e. sets — which also play an important role in algorithmic randomness — fits well with the notion of selection by r.e. sets and strong connections are found. Hence, the present work aims at establishing some basic properties of the selection relation and at clarifying its interplay with other established recursion-theoretic notions like Martin-Löf randomness, immunity, enumeration-properties and initial segment complexity.

In the sequel it is shown that a set is ω -r.e. if and only if it can be selected from an infinite and coinfinite recursive set in two steps. Every recursive set E has selection rank of at most 2, where the selection rank is 2 if and only if the set is infinite and coinfinite. Furthermore, the truth-table cylinder of the halting problem has selection rank 1. Every set selected from Ω in finitely many steps is Martin-Löf random (but differs from Ω in case at least one of the selections is nontrivial). There are Martin-Löf random sets which behave differently, for example, all ω -r.e. sets can be selected from some Martin-Löf random set.

2 Selection and ω -r.e. sets

Recall that a set A is ω -r.e. iff there is a recursive function f and a sequence of sets A_0, A_1, \dots such that the A_s form a recursive approximation to A where the number of mind changes is bounded by f , that is,

- the sets A_s are uniformly recursive, that is, the mapping $(x, s) \mapsto A_s(x)$ is a recursive function of two arguments;
- for all x and all sufficiently large stages s , $A(x) = A_s(x)$;
- $A_0 = \emptyset$ and for every x there at most $f(x)$ stages s with $A_s(x) \neq A_{s+1}(x)$.

Note that a set A is r.e. if and only if it is ω -r.e. with a bounding function f as above that satisfies the additional constraint that $f(x) = 1$ for all x . The r.e. sets and ω -r.e. sets have been well-studied in recursion theory [6, 9–11, 14].

Our first result is that the ω -r.e. sets are closed downwards under the selection relation.

Theorem 2. *Assume that A is selected from B and B is an ω -r.e. set. Then A is an ω -r.e. set, too.*

Proof. Let the recursive approximation B_0, B_1, \dots and the recursive function f witness that B is an ω -r.e. set. Furthermore, let W be an r.e. set selecting A from B . There is a strictly increasing recursive function g such that its range is a recursive subset W_0 of W . Fix some recursive approximation W_0, W_1, \dots of W with $W_0 \subseteq W_1 \subseteq \dots$ and let A_s be the set selected from B_s by W_s . Then $A_0 = \emptyset$ because $B_0 = \emptyset$. Furthermore, it can easily be seen that $A_s(n) \neq A_{s+1}(n)$ requires that there is an $x \leq g(n)$ with $W_s(x) \neq W_{s+1}(x)$ or $B_s(x) \neq B_{s+1}(x)$. Since for each such x these two conditions can be true for at most 1 and for at most $f(x)$ stages s , respectively, the total number of stages s where $A_s(n) \neq A_{s+1}(n)$ holds is at most $g(n) + 1 + f(0) + \dots + f(g(n))$. Hence A is an ω -r.e. set. \square

Theorem 3. *Let O be the set of odd numbers and A be an ω -r.e. set. Then A can be selected from O in two steps, that is, there is a set B such that $A \sqsubset B$ and $B \sqsubset O$.*

Proof. Let the recursive approximation A_0, A_1, \dots and the recursive function f witness that A is an ω -r.e. set., and let $h(n) = n^2 \cdot (1 + f(0) + f(1) + \dots + f(n))$. It suffices to construct B such that, first, $A(n) = B(h(n))$, that is, the range of h selects A from B and, second, the set B is selected from O by some r.e. set W .

Split the natural numbers into consecutive intervals $I_0, J_0, I_1, J_1, I_2, J_2, \dots$ where the length of I_n is $1 + f(0) + f(1) + \dots + f(n)$, and the length of J_n is $h(0) + 1$ for $n = 0$ and is $h(n) - h(n-1)$ for $n \geq 1$. Let W_0 be the union of all J_n and let $k_0 = 0$. During stages $s = 0, 1, \dots$, one applies the following updates:

1. Let B_s be the set selected by W_s from O ;
2. if $A_s(k_s) \neq B_s(h(k_s))$ then let $W_{s+1} = W_s \cup \{\max(I_{k_s} \setminus W_s)\}$ else let $W_{s+1} = W_s$;
3. let $k_{s+1} = \min(\{k_s + 1\} \cup \{j : A_s(j) \neq A_{s+1}(j)\})$.

Say a stage s is enumerating in case on reaching its second step the condition of the if-clause is satisfied. First it is shown that for every enumerating stage s , the set $I_{k_s} \setminus W_s$ is nonempty, hence indeed the maximum member of this set is enumerated into W during stage s . Fix n and consider the enumerations of members of I_n into W in step 2. After each such enumeration at some stage s , any further such enumeration requires that at some stage $t > s$, one has $k_{t+1} \leq n < k_t$, which by step 3 in turn requires that the approximation to A has a mind change of the form $A_t(j) \neq A_{t+1}(j)$ where $j \leq n$ and $s < t$. Since for enumerations of distinct members of I_n there must be distinct such pairs (j, t) , by choice of f at most $1 + f(0) + f(1) + \dots + f(n) = |I_n|$ members of I_n are enumerated into W .

Next let z_0, z_1, \dots be the members of W in ascending order and for all s let z_0^s, z_1^s, \dots be the members of W_s in ascending order. By choice of the lengths of the intervals J_n and since W_0 was chosen as the union of these intervals, one has $z_{h(n)}^0 = \max J_n$ for all n . Furthermore, at most $|I_0| + \dots + |I_n| \leq |J_n|$ times at some stage s a number smaller than $z_{h(n)}^s$ is enumerated into W_{s+1} . Hence for all such stages, one has

$$z_{h(n)-1}^s = z_{h(n)}^s - 1, \text{ hence } z_{h(n)}^{s+1} = z_{h(n)}^s - 1 \text{ and } O(z_{h(n)}^{s+1}) = 1 - O(z_{h(n)}^s).$$

In particular, during each enumerating stage s the value of the previous approximation to $B(h(k_s))$ is flipped from $O(z_{h(k_s)}^s)$ to $O(z_{h(k_s)}^{s+1})$, and after step 2 of each stage s , one has $A_s(k_s) = B_{s+1}(h(k_s))$. By induction on stages one can then show as an invariant of the construction that during each stage s at the end of step 2 it holds that

$$A_s(j) = B_{s+1}(h(j)) = O(z_{h(j)}^{s+1}) \quad \text{for all } s \text{ and all } j \leq k_s.$$

This concludes the verification of the construction because k_s goes to infinity by step 3 and because the sets A_s, B_s and W_s converge pointwise to A, B and W , respectively. \square

The two preceding theorems give rise to the following corollary.

Corollary 4. *For any set A the following assertions are equivalent:*

1. A is ω -r.e.;
2. A can be selected from O in two steps;
3. A can be selected from O in finitely many steps.

As a further consequence of Theorem 3, there is a set B that is selected from a recursive set but has a logarithmic lower bound on the plain Kolmogorov complexity $C(\sigma)$ of its initial segments $\sigma = B(0)B(1)\dots B(n)$, hence, in particular, the set B is complex [3]. Here the plain Kolmogorov complexity $C(\sigma)$ of a string σ is the length of the shortest program p such that $U(p) = \sigma$ for some fixed underlying universal machine U , see the textbook of Li and Vitányi [6] for more details. Note that the bound in Corollary 5 is optimal up to a constant factor by the proof of Theorem 11 below, which yields as a special case that every set selected from a recursive set has infinitely many initial segments of at most logarithmic complexity.

Corollary 5. *There is a set B selected from O such that for almost all n it holds that $C(B(0)B(1)\dots B(n)) \geq 0.5 \cdot \log(n)$.*

Proof. Section 3 provides a closer look at Chaitin's Ω , which is the standard example of a Martin-Löf random left-r.e. set. From these properties it is immediate that for almost all n it holds that $C(\Omega(0)\Omega(1)\dots\Omega(n)) > n - 3 \log n$ and that Ω is ω -r.e. with bounding function $f(n) = 2^{n+1} - 1$. Applying the construction in the proof of Theorem 3 with A equal to Ω , one has $1 + f(0) + f(1) + \dots + f(n) \leq 2^{n+2}$, hence $h(n) \leq 3^n$ for almost all n . So one can retrieve $\Omega(0)\Omega(1)\dots\Omega(n)$ from $B(0)B(1)\dots B(3^n)$. The corollary now follows by some elementary rearrangements. \square

Proposition 7 determines the rank of certain sets with rank 0, 1 or 2. The corresponding arguments use again Theorems 3 and 11, together with the following absorption principle for selections by recursive sets.

Proposition 6. *Let A be selected from E by the r.e. set W and let E be selected from B by the recursive set V . Then A is selected from B .*

Proof. Let v_0, v_1, \dots and w_0, w_1, \dots be the members of V and W , respectively, in ascending order. Note that $n \in A$ iff $w_n \in E$ iff $v_{w_n} \in B$, hence A is selected from B by the r.e. set $\{v_{w_0}, v_{w_1}, \dots\}$. \square

Proposition 7. *Exactly the sets \emptyset and \mathbb{N} have selection rank 0. Every finite and every cofinite set that differs from \emptyset and \mathbb{N} has selection rank 1. Every recursive set that is infinite and coinfinite has selection rank 2.*

Proof. The only set that can be selected from the empty set is the empty set itself, hence the empty set has rank 0; the same argument works for \mathbb{N} . Next consider a finite set B . In case B differs from \emptyset and \mathbb{N} , some set different from B

can be selected from A , thus the selection rank of B is at least 1. However, in case a set A is selected from B in several steps, then A and all the intermediate sets are finite and all selecting sets can be taken to be recursive. Then B can be selected from A in a single step according to Proposition 6, hence the selection rank of A is at most 1. Again, an almost identical argument works for the symmetric case of a coinfinite set.

The selection rank of O is at most 2 by Corollary 2, and is at least 2 because by Theorems 3 and 11 the ω -r.e. set Ω can be selected from O in two steps but not in one step. Given any infinite and coinfinite recursive set B , the set B can be selected from O by a recursive set and vice versa. By the absorption principle in Proposition 6, from B and O exactly the same sets can be selected in exactly the same number of steps, hence B and O share the same selection rank. \square

Proposition 8 shows that also nonrecursive sets can have a low selection rank. The proof of the proposition is based on the fact that every set weakly truth-table reducible to the halting problem K is also one-one reducible to its truth-table cylinder by a strictly increasing reduction function; however, due to lack of space, details are omitted. Recall that by definition A is truth-table reducible to B if there are recursive functions f and g where f maps pairs of numbers and strings to bits and the reduction is given by $A(x) = f(x, B(0), B(1), \dots, B(g(x)))$ for every x . Recall further that by definition a set B is a truth-table cylinder if there are three recursive functions pad , and , neg such that for all x and y , $\text{pad}(x) > x$, $B(\text{pad}(x)) = B(x)$, $B(\text{neg}(x)) = 1 - B(x)$ and $B(\text{and}(x, y)) = B(x) \cdot B(y)$. Furthermore, for any set X , one can choose a truth-table cylinder in the truth-table degree of X and by appropriately restricting this choice to a specific truth-table cylinder obtain *the* truth-table cylinder X^{tt} of X .

Proposition 8. *The truth-table cylinder K^{tt} of the halting problem has selection rank 1 and every ω -r.e. set can be selected from it by a recursive set W .*

3 Selection and Ω

Chaitin's Ω is a standard example for a Martin-Löf random set which is in addition also an ω -r.e. set [1]. It will turn out that Ω has various special properties and some but not all of them are shared by Martin-Löf random sets in general. The following gives an overview about Martin-Löf randomness.

Using a characterisation of Schnorr [13], one can say that a set A is Martin-Löf random [7] iff no r.e. martingale M succeeds on A . In this context, a martingale is a function from binary strings to nonnegative real numbers such that $M(\sigma) = (M(\sigma 0) + M(\sigma 1))/2$. M succeeds on a set A iff the set $\{M(\sigma) : \sigma \preceq A\}$ has the supremum ∞ , where $\sigma \preceq A$ means that $\sigma(x) = A(x)$ for all x in the domain of σ ; similarly one can compare strings with respect to \preceq . Furthermore, M is called r.e. iff $\{(\sigma, q) : \sigma \in \{0, 1\}^*, q \in \mathbb{Q}, M(\sigma) > q\}$ is recursively enumerable; M is recursive iff the just defined set is recursive. Without loss of generality, one can take a recursive martingale to be \mathbb{Q} -valued and can show that whenever some recursive martingale succeeds on A then some \mathbb{Q} -valued

recursive martingale succeeds on A where in addition the function $\sigma \mapsto M(\sigma)$ is a recursive mapping which returns on input σ the canonical representation (as a pair of numerator and denominator) of $M(\sigma)$. This also holds relativised to oracles. Furthermore, one can say that a partial-recursive martingale M succeeds on A iff for every $\sigma \preceq A$, $M(\sigma), M(\sigma 0), M(\sigma 1)$ are all defined, for every $\sigma \preceq A$ the relation $M(\sigma) = (M(\sigma 0) + M(\sigma 1))/2$ holds and the supremum of $\{M(\sigma) : \sigma \preceq A\}$ is ∞ . It is known that if a recursive martingale succeeds on A , then also a partial-recursive martingale succeeds on A ; furthermore, if a partial-recursive martingale succeeds on A then a r.e. martingale succeeds on A . A further characterisation by Zvonkin and Levin [15] is that A is Martin-Löf random iff there is no partial-recursive function G compressing A . Here G compresses A iff G maps strings to strings, the domain of G is prefix free – that is whenever $G(p)$ is defined then $G(pq)$ is undefined for all $p, q \in \{0, 1\}^*$ with $q \neq \varepsilon$ – and there are infinitely many n for which there is a p of length at most n with $G(p) = A(0)A(1)\dots A(n)$. The interested reader is referred to standard textbooks on algorithmic randomness for more information [6, 9].

In this section, the relations between Ω and \sqsubset are investigated. First, Theorem 9 shows every set selected from Ω is Martin-Löf random. Second, the next result shows that one cannot select Ω nontrivially in several steps from itself, that is, there are no sets E_0, E_1, \dots, E_n such that $E_m \sqsubset E_{m+1}$ via an $W_{e_m} \neq \mathbb{N}$ for all $m < n$ and $E_0 = E_n = \Omega$.

Note that for this section, for an r.e. set W with recursive enumeration W_0, W_1, \dots (that is, the W_s are uniformly recursive, $W = \bigcup_s W_s$ and $W_0 \subseteq W_1 \subseteq \dots$), one defines the convergence module $c_W(x)$ is the first stage $s \geq x$ such that $W_s(y) = W(y)$ for all $y \leq x$. Furthermore, one fixes an approximation $\Omega_0, \Omega_1, \dots$ from the left for Ω , that is, this approximation satisfies the following three conditions:

- the Ω_s are uniformly recursive;
- for all x and almost all s , $\Omega(x) = \Omega_s(x)$;
- whenever $\Omega_{s+1} \neq \Omega_s$, then the least element x in the symmetric difference satisfies $x \in \Omega_{s+1} - \Omega_s$.

Now one defines the convergence module of Ω at x as $c_\Omega(x) = \min\{s \geq x : \forall y \leq x [\Omega_s(y) = \Omega(y)]\}$. Note that c_Ω , due to Ω being Martin-Löf random, grows much faster than c_W for any given r.e. set W ; in particular there is a constant c such that, for all $x > 0$, $c_\Omega(x - 1) + c \geq c_W(x)$. This is used in several of the proofs below, in particular as martingales working on Ω and currently having the task to predict $\Omega(x)$, can from the already known values $\Omega(0) \dots \Omega(x - 1)$ figure out which $y \leq x$ are in finitely many fixed r.e. sets and therefore reconstruct the nature of reductions up to x .

Theorem 9 answers an open question by Kjos-Hanssen, Stephan and Teutsch [4, Question 6.1] on whether a set selected from Ω by an r.e. set is Martin-Löf random; the corresponding question with respect to selections by co-r.e. sets also mentioned there is still open.

Theorem 9. *If A is selected from Ω in finitely many steps then A is Martin-Löf random.*

Proof. Assume that there are a number n and sets E_0, E_1, \dots, E_n , $A = E_0$, $\Omega = E_n$ and for all $m < n$ there is an increasing function F_m with $x \in E_m \Leftrightarrow F(x) \in E_{m+1}$ and the range of F_m being an r.e. set. Note that one knows $F_m(0), F_m(1), \dots, F_m(y)$ at time s iff all elements of the range of F_m below $F_m(y)$ are enumerated within s time steps (with respect to some given recursive enumeration of the range of F_m). As the convergence module of Ω dominates the convergence module of every r.e. set there is a constant c such that one can, for every $x > 0$ and every $m < n$, compute $F_m(y)$ for all y with $F_m(y) \leq x$ within time $c_\Omega(x - 1) + c$.

Now assume by way of contradiction that A is not Martin-Löf random. Miller [8] showed that there is an oracle B which is low for Ω and PA-complete; that is, B satisfies that Ω is Martin-Löf random relative to B and that every partial-recursive $\{0, 1\}$ -valued function has a total B -recursive extension. It is known that every set which is not Martin-Löf random is not recursively random relative to such an oracle B ; hence there is a B -recursive martingale M which succeeds on A .

Now it is shown that M can be transformed into a partial B -recursive martingale N succeeding on Ω in contradiction to the choice of B ; this N will be defined inductively and the $N(\sigma a)$ will be defined for all $\sigma \preceq \Omega$ and all $a \in \{0, 1\}$. This is done by inductively defining sequences $\Phi(\sigma)$ from σ for some partial-recursive function Φ and then letting $N(\sigma) = M(\Phi(\sigma))$. As a starting point, let $\Phi(\varepsilon) = \varepsilon$ and hence $N(\varepsilon) = M(\varepsilon)$. Inductively, $\Phi(\sigma a)$ is defined from $\Phi(\sigma)$ and hence $N(\sigma a)$ from $N(\sigma)$.

Now for any given σ where $\Phi(\sigma)$ and $N(\sigma)$ are defined, one does for $a = 0, 1$ the following: Let $s = t + c$ for the first time $t \geq |\sigma|$ with $\sigma \preceq \Omega_t$; if this time t does not exist then $N(\sigma 0)$ and $N(\sigma 1)$ are undefined. Having s , one computes approximations $F_{m,0}, F_{m,1}, \dots$ to F_m where $F_{m,s}(y)$ is the y -th element of the set of strings enumerated into the range of F_m within s steps with respect to some recursive enumeration. Let

$$\tilde{F}_s(y) = F_{n-1,s}(F_{n-2,s}(\dots(F_{1,s}(F_{0,s}(y))))\dots))$$

and $\tilde{F}(y)$ be the limit of $\tilde{F}_s(y)$. Note that when $\sigma \preceq \Omega$ then $\tilde{F}_s(y) = \tilde{F}(y)$ for all y with $\tilde{F}(y) \leq |\sigma| + 1$ because of the above domination properties; note that the t there would be $c_\Omega(|\sigma|)$. Furthermore, for all y , either $\tilde{F}_s(y)$ is undefined or $\tilde{F}_s(y) \geq \tilde{F}(y)$.

If there is a y such that $\tilde{F}_s(y) = |\sigma|$ then let $\Phi(\sigma a) = \Phi(\sigma)a$ else let $\Phi(\sigma a) = \Phi(\sigma)$. Furthermore, $N(\sigma a) = M(\Phi(\sigma a))$.

Now one analyses the behaviour of N on Ω . Note that whenever $\sigma a \preceq \Omega$ and $\tilde{F}_s(y) \in \text{dom}(\sigma a)$ then $\tilde{F}_s(y) = \tilde{F}(y)$ where the s is as above. As a consequence, one has for the maximal y with $\tilde{F}_s(y) \in \text{dom}(\sigma a)$ that $\Phi(\sigma a) = \Omega(\tilde{F}(0))\Omega(\tilde{F}(1))\dots\Omega(\tilde{F}(y))$ and hence $\Phi(\sigma a) \preceq A$. It follows that N works on Ω like a delayed version of M on A ; in particular as M takes on A arbitrarily large values, so does N on Ω . This would mean that N succeeds on Ω in contradiction to the assumption that Ω is Martin-Löf random relative to the oracle B . Thus, against the assumption, the set A has to be Martin-Löf random. \square

A similar proof (which is omitted due to page constraints) shows the following result.

Theorem 10. *One cannot select Ω nontrivially in several steps from itself, that is, there are no $n > 0$ and no sets E_0, E_1, \dots, E_n such that $E_m \sqsubset E_{m+1}$ via an $W_{e_m} \neq \mathbb{N}$ for all $m < n$ and $E_0 = E_n = \Omega$.*

Furthermore, one can also show the following: interchange even and odd positions by letting $\tilde{\Omega}(2n) = \Omega(2n+1)$ and $\tilde{\Omega}(2n+1) = \Omega(2n)$; the set $\tilde{\Omega}$ cannot be selected from Ω in any number of steps.

4 Selection and Martin-Löf random sets in general

After having investigated relations between selection and the special Martin-Löf random set Ω , the focus is now on relations between selection and Martin-Löf random sets in general. First, Theorems 11 and 12 exhibit classes of sets from which no Martin-Löf random set can be selected in one step. Furthermore, Theorems 14 and 15 assert that there is a Martin-Löf random set from which one can select all ω -r.e. sets in up to two steps, whereas no recursive set can be selected from any Martin-Löf random set in one step.

Theorem 11. *Assume that B is Turing reducible to a Turing-incomplete r.e. set. Then no set selected from B is Martin-Löf random.*

Proof. Let B be stated as in the theorem. Recall that a sufficient criterion for a set A to be not Martin-Löf random is that there are infinitely many n such that the plain Kolmogorov complexity of $A(0)A(1)\dots A(n)$ is bounded proportionally to $\log(n)$. Indeed, in the following it is shown that there are a constant c and infinitely many n such that $C(A(0)A(1)\dots A(n)) \leq 2 \cdot \log(n) + c$.

Consider any $A \sqsubset B$ and let W be the r.e. set with $A(n) = B(w_n)$ for the n -th element w_n of W in ascending order. Let b_0, b_1, b_2, \dots be a recursive one-one enumeration of W and let $e_0 = 0$ and e_{n+1} be the first number $d > e_n$ such that $b_{e_n} < b_d$. Note that the mapping $m \mapsto b_{e_m}$ is recursive. Now given any m , let n be the number with $b_{e_m} = w_n$, note that $m \leq n$. Knowing m and n , one can compute w_0, w_1, \dots, w_n .

There is a recursive approximation B_0, B_1, \dots to B such that the convergence module g of this approximation does not permit to compute the diagonal halting problem K . In particular there are infinitely many $m \in K$ such that m is enumerated into K at a stage s larger than $g(b_{e_m})$ and all w_k with $k \leq n$ satisfy $B_s(w_k) = B(w_k)$. Hence, for these m and the corresponding n , $A(0)A(1)\dots A(n)$ can be described by m and n using the time s when m is enumerated into K and the members w_0, w_1, \dots, w_n of W obtained from m, n and conjecturing that $A(k) = B_s(w_k)$ for $k = 0, 1, \dots, n$. For the right parameters, the s exists and the corresponding data can be computed and the resulting string is correct. As one can describe m and n by two numbers of $\log(n)$ binary digits (the number of digits must be the same for permitting to separate out the digits from m from those for n), $C(A(0)A(1)\dots A(n)) \leq 2 \cdot \log(n) + c$ for some constant c and infinitely many n . It follows that A is not Martin-Löf random. \square

Theorem 12. *Every truth-table degree contains a set B such that no set selected from B is Martin-Löf random.*

Proof. This proof is mainly based on the fact that every truth-table degree contains a retraceable set; here a set B is retraceable iff there is a partial-recursive function ψ which returns for every $x \in B$ a canonical index of the set $\{y \leq x : y \in B\}$; on $x \notin B$, ψ can either be undefined or return any information, either wrong or right. For example, if E is a given set then the set $B = \{x_0, x_1, \dots\}$ with $x_0 = 1$ and $x_{n+1} = 2x_n + E(n)$ for all n is a retraceable set of the same truth-table degree as E . So fix such B and ψ with B being inside the given truth-table degree. The proof follows now in general the proof of Theorem 11 with the adjustment that it is shown that for each $A \sqsubset B$ there are a constant c and infinitely many n such that the plain Kolmogorov complexity of $A(0)A(1) \dots A(n)$ is bounded by $3 \cdot \log(n) + c$, which then gives that A is not Martin-Löf random.

Consider any $A \sqsubset B$ and let W be the r.e. set with $A(n) = B(w_n)$ for the n -th element w_n of W in ascending order. Without loss of generality, $0 \in A$. Let b_0, b_1, b_2, \dots be a recursive one-one enumeration of W and let $e_0 = 0$ and e_{n+1} be the first number $d > e_n$ such that $b_{e_n} < b_d$. Note that the mapping $m \mapsto b_{e_m}$ is recursive. Now given any m , let n be the number with $b_{e_m} = w_n$, note that $m \leq n$. Knowing m and n , one can compute w_0, w_1, \dots, w_n . Furthermore, let k be such that w_k is the maximal of the $w_0, w_1, w_2, \dots, w_n$ with $w_k \in B$. Note that $k \leq n$ and k exists as $0 \in A \wedge w_0 \in B$.

Hence, for each n and the corresponding $m, k \leq n$, one can compute w_0, w_1, \dots, w_n from m, n and use $\psi(w_k)$ to find out which of these numbers are in B . Hence $A(0)A(1) \dots A(n)$ can be computed from n, m, k . One can code m, n, k as 3 binary numbers of $\log(n)$ digits each and gets therefore that there are a constant c and infinitely many n such that $C(A(0)A(1) \dots A(n)) \leq 3 \cdot \log(n) + c$. Hence the set A is not Martin-Löf random. \square

If one would start with a hyperimmune set B then every $A \sqsubset B$ is also hyperimmune and therefore not Martin-Löf random. Hence one has the following result similar to the previous one.

Proposition 13. *There are uncountably many sets B such that no set that is selected from B in one or several steps is Martin-Löf random.*

The following result stands in contrast to Theorem 9, which says that one cannot select any nonrandom set from Ω in arbitrarily many steps. Note that the resulting set B is like Ω also an ω -r.e. Martin-Löf random set. The lengthy proof is omitted due to page constraints.

Theorem 14. *There is a Martin-Löf random set B such that some set selected from B is not Martin-Löf random and every ω -r.e. set can be selected from B in two steps.*

Theorem 15 below shows that the above bound of two steps cannot be brought down to one; indeed, recursive sets can be selected from the above B in exactly two steps. Note that the proof of Theorem 15 indeed shows that it is not possible

to select from a Martin-Löf random set any set that obeys certain upper bounds on the complexity of its initial segments.

Theorem 15. *It is not possible to select a recursive set from a Martin-Löf random set.*

Proof. Assume that $A \sqsubset B$ via an r.e. set W and A is recursive and F is the function which lists W in ascending order (F is not recursive). So $A(x) = B(F(x))$ for all x and $W = \{F(0), F(1), \dots\}$. Let u_0, u_1, \dots be an ascending recursive enumeration of a recursive subset of W which is selected such that W has at least 3^n elements below a given u_n . Now one shows that there is a partial-recursive function G with prefix-free domain which compresses B , that is, for which there are infinitely many $p \in \text{dom}(G)$ with $G(p)$ being a prefix of B which is longer than $|p|$; this would then be an alternative way to prove that B is not Martin-Löf random.

On input $p = 0^n 10^m 1b_0b_1 \dots b_m c_0c_1 \dots c_k$, $G(p)$ first checks whether $k+1 = u_n - d$ where d is the binary value of $b_0b_1 \dots b_m$. In the case that this is true, $G(p)$ enumerates the W until d many elements at places $\tilde{F}(0), \tilde{F}(1), \dots, \tilde{F}(d)$ have been enumerated into W with $\tilde{F}(0) < \tilde{F}(1) < \dots < \tilde{F}(d) = u_n$. If this is eventually achieved and if $d \geq n$, then G outputs a string $\sigma \in \{0, 1\}^{u_n+1}$ which is obtained by letting $\sigma(\tilde{F}(d')) = A(d')$ for all $d' \leq d$ and by filling the remaining missing $k+1$ values in σ below the position u_n according to the string $c_0c_1 \dots c_k$. This results in a string of length u_n which is computed from a p of length $n + 2m + k + 4$; by taking m as small as possible, one has that $m \leq \log(d) + 1$ and $n \leq \log(d)$, thus one has a length bounded by $u_n + 3 \log(d) - d$ which is, for all sufficiently large n and d (as $d \geq n$) smaller than u_n .

One has now to show that one can always choose d , m , $b_0b_1 \dots b_m$ and $c_0c_1 \dots c_k$ such that the corresponding output $G(p)$ is $B(0)B(1) \dots B(u_n)$. To see this, let d be the number of strings in W up to u_n (which is larger than n) and $m = \log(d)$ and $b_0b_1 \dots b_m$ be the binary representation of d . Furthermore, let $k = u_n - d - 1$. One gets that $\tilde{F}(d') = F(d')$ for all $d' \leq d$. Now one chooses $c_0c_1 \dots c_k$ such that the missing positions in σ which are not covered by $F(0), F(1), \dots, F(d)$ are covered with the corresponding bits of B . Hence one has that for the so selected p that $G(p)$ equals $B(0)B(1) \dots B(u_n)$. It is furthermore easy to verify that the domain of G is prefix-free. \square

5 Conclusion

The present paper focussed on the question when a set A is one-one reducible to B via the principal function of an r.e. set and generalised this notion also to reductions in several steps, as this reducibility is not transitive. The investigations show that there is a rich relation between this type of reducibility and ω -r.e. sets and Martin-Löf random sets. Future work might in particular address the question for which numbers $n \in \{0, 1, 2, \dots, \infty\}$ there are sets A of selection rank n ; for $n = 0, 1, 2$, examples are given within this paper and all of these

examples are ω -r.e. sets. As the current investigations centered on ω -r.e. sets, subsequent research might also aim for more insights concerning the selection relation among sets that are not ω -r.e. or even not Δ_2^0 . For example, one might ask whether every set selected from a strongly random set in finitely many steps is again strongly random; this closure property holds for 2-randomness and also for 2-genericity but not for Martin-Löf randomness and also not for 1-genericity.

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