State Complexity of Neighbourhoods and Approximate Pattern Matching

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July 27, 2015

Are neighbourhoods of a regular language also regular? What is the state complexity of the neighbourhood of a regular language?

 A lower bound on the state complexity of neighbourhoods with respect to additive quasi-distances.

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- 2. State complexity of approximate pattern matching.

A distance is a function $d: \Sigma^* \times \Sigma^* \to [0, \infty)$ such that

- **1.** d(x, y) = 0 if and only if x = y
- **2.** d(x, y) = d(y, x)
- 3. $d(x, y) \le d(x, w) + d(w, y)$

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If condition (1) is relaxed to d(x, y) = 0 if x = y, then d is a quasi-distance.



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$$E(L,d,r) = \{ u \in \Sigma^* \mid (\exists w \in L) d(w,u) \le r \}.$$



A distance d on Σ^* is additive if for all factorizations $w=w_1w_2$, we have for all r>0

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Theorem (Calude, Salomaa, Yu 2002)

Let d be an additive quasi-distance on Σ^* and $L \subseteq \Sigma^*$ be a regular language. Then E(L, d, r) is regular for all $r \geq 0$.

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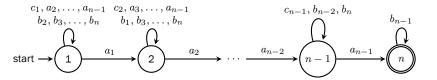
What is the lower bound for the state complexity of additive neighbourhoods?

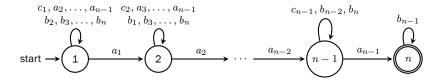
Lemma (Povarov 2007)

If a language $L\subseteq \Sigma^*$ is recognized by an n-state NFA, then the neighbourhood of L of radius r can be recognized by an NFA with n(r+1) states.

This construction gives an immediate upper bound of $2^{n(r+1)}$.

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▶
$$d_r(a_i, c_i) = 0$$
 for $1 \le i \le n - 1$

$$c_1, a_2, \dots, a_{n-1} \quad c_2, a_3, \dots, a_{n-1} \\ b_2, b_3, \dots, b_n \quad b_1, b_3, \dots, b_n$$

$$c_{n-1}, b_{n-2}, b_n$$

$$b_{n-1}$$

$$a_1 \longrightarrow 2$$

$$a_{n-2} \longrightarrow (n-1)$$

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- ▶ $d_r(a_i, c_i) = 0$ for $1 \le i \le n 1$
- $ightharpoonup d_r(b_i,b_j)=1 \text{ for } i\neq j$

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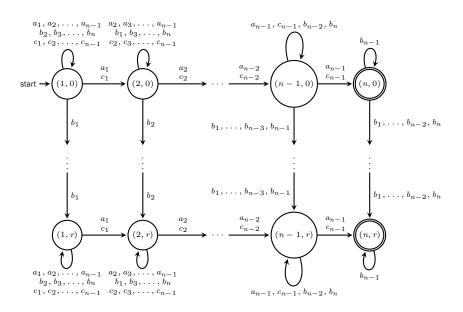
$$d_r(a_i, a_j) = r + 1 \text{ for } i \neq j$$

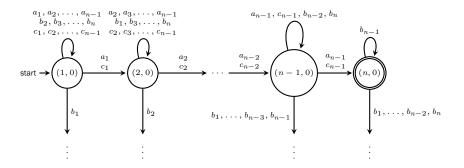
▶
$$d_r(a_i, b_j) = d_r(c_i, b_j) = r + 1$$
 for all $1 \le i, j \le n$

$$d_r(c_i, c_j) = r + 1 \text{ for all } 1 \le i, j \le n$$

$$lacksquare d_r(a_i, c_j) = r + 1 \text{ for all } i \neq j$$

•
$$d_r(\sigma, \varepsilon) = r + 1$$
 for all $\sigma \in \Sigma$.





$$w(k_1,\ldots,k_n) = a_1 b_1^{k_1} a_2 b_2^{k_2} \cdots a_{n-1} b_{n-1}^{k_{n-1}} b_n^{k_n}$$

Theorem

If d is an additive quasi-distance, A is an NFA with n states and $r \in \mathbb{N}$,

$$sc(E(L(A), d, r)) \le (r+2)^n.$$

There exists an additive quasi-distance d_r and a DFA A with n states over an alphabet of size 3n-2 such that $\mathrm{sc}(E(L(A),d_r,r))=(r+2)^n$.

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- $ightharpoonup \sum_{i=1}^r \alpha_i b_i$, b_i the (i+1)th Catalan number; for m different symbols.

For a given FA A and quasi-distance d, what is the state complexity of the set of strings that contain a substring with distance r from L(A)?

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For a given FA A and quasi-distance d, what is the state complexity of the language $\Sigma^* \cdot E(L(A), d, r) \cdot \Sigma^*$? For r=0, we have $2^{n-2}+1$ for $\Sigma^* \cdot L(A) \cdot \Sigma^*$ (Brzozowski, Jirásková, Li 2010).

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For k final states, this gives us a machine with at most $(r+2)^{n-1-k}+1$ states.



Theorem

Let d be an additive quasi-distance on Σ^* . For any n-state NFA A and $r \in \mathbb{N}$ we have

$$\operatorname{sc}(\Sigma^* \cdot E(L(A), d, r) \cdot \Sigma^*) \le (r+2)^{n-2} + 1.$$

For given $n,r\in\mathbb{N}$ there exists an additive distance d_r and an n-state NFA A defined over an alphabet of size 2n-1 such that $\mathrm{sc}(\Sigma^*E(L(A),d_r,r)\Sigma^*)=(r+2)^{n-2}+1$.

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