

Every ideal in  $\underbrace{\mathbb{R}[x]}_{\text{polynomials}}$  is principal. (~~principle~~)

$I \triangleleft \mathbb{R}[x]$  means:  $I \subseteq \mathbb{R}[x]$ ,  $0 \in I$ , closed under addition,  $(\forall f \in I)(\forall g \in \mathbb{R}[x]) (f \cdot g \in I)$   
 $\uparrow$   
 ideal

NTS: If  $I \triangleleft \mathbb{R}[x]$  then  $(\exists f)(I = f \cdot \mathbb{R}[x])$

Proof: Case 1:  $I = \{0\}$  then  $f := 0$   $\checkmark$

Case 2:  $I \neq 0 \therefore \exists$  polynomials of degree  $\geq 0$  in  $I$ .

Let  $f \in I$  be of lowest degree,  $f \neq 0$ .  
 $\uparrow$   
 suspect

Obs.  $f \in I \Rightarrow f \cdot \mathbb{R}[x] \subseteq I$

Claim.  $f \cdot \mathbb{R}[x] = I$ .

NTS:  $f \cdot \mathbb{R}[x] \supseteq I$ , i.e.  $(\forall h \in I)(\underbrace{h \in f \cdot \mathbb{R}[x]}_{\text{i.e. } f \mid h})$

Use Division Theorem:  $\exists q, r$

$$h = f \cdot g + r, \quad \deg r < \deg f$$

$$\left. \begin{array}{l} f \in I \Rightarrow f \cdot g \in I \\ h \in I \end{array} \right\} r = h - f \cdot g \in I$$

$$\therefore \deg r < 0 \text{ i.e. } \boxed{r=0} \therefore f|h. \quad \square$$

$\mathbb{Z}$

Alternate  $\wedge$  proof of existence of gcd  
algorithmic proof, paraphrasing Euclid's proof.

$$\text{Div}(a) = \{d \in \mathbb{Z} \mid d|a\} = \{\text{all divisors of } a\}$$

$$\text{Div}(a, b) = \text{Div}(a) \cap \text{Div}(b) = \{\text{common divisors of } a \text{ and } b\}$$

$$\text{Thm. } (\forall a, b)(\exists d)(\text{Div}(a, b) = \text{Div}(d))$$

$\uparrow$  i.e.  $d$  is a greatest  
common divisor of  
 $a$  and  $b$ .

(DO) (Euclid's Lemma.)

$$\text{Div}(a, b) = \text{Div}(a-b, b)$$

(based on distributivity.)

Do  $(\forall q) (\text{Div}(a, b) = \text{Div}(a - qb, b))$

Proof: Induction on  $q$  for  $q \geq 0$  (and figure out what to do with the negatives.)

Note:  $\text{Div}(a, b) = \text{Div}(b, a)$

$$\text{Div}(a, 0) = \text{Div}(a)$$

$$\text{Div}(a) = \text{Div}(|a|)$$

$$\text{Div}(a, b) = \text{Div}(|a|, |b|).$$

WLOG we may assume  $a, b \geq 0 \dots$  in fact, (without loss of generality)  $a, b > 0$ .

Proof. By induction on  $a + b$ .

Base case:  $a + b \leq 1 \rightarrow$  Done (at least <sup>one</sup> is 0)

Suppose  $a, b \geq 1$ ,  $a \geq b$  WLOG, so

$$a \geq b \geq 1.$$

$$\exists d$$

$$\text{Div}(a, b) = \text{Div}(a - b, b) = \text{Div}(d)$$

$$(a - b) + b = a < a + b$$

By inductive hypothesis.

□

Euclid's Algorithm (made efficient)

Input:  $(a, b)$  where  $a \geq b \geq 1$

WHILE  $a, b \geq 1$ :

IF  $b > a$  THEN  $a \leftrightarrow b$  (swap  $a, b$ )

$a = bq + r$  by Div. Theorem

$(a, b) \leftarrow (b, r)$

END WHILE

RETURN  $a$

Ex.  $(72, 13)$

$\rightarrow (13, 7)$

$\rightarrow (7, 6)$

$\rightarrow (6, 1)$

$\rightarrow (1, 0)$  end

$$72 = 13 \cdot 5 + 7$$

$$13 = 7 \cdot 1 + 6$$

$$7 = 6 \cdot 1 + 1$$

$$6 = 1 \cdot 6 + 0$$

return  $\boxed{1}$ .

Ex.  $(76, 14)$   $76 = \underline{14} \cdot 5 + \underline{6}$   
 $\rightarrow (14, 6)$   $14 = \underline{6} \cdot 2 + \underline{2}$   
 $\rightarrow (6, 2)$   $6 = \underline{2} \cdot 3 + \underline{0}$   
 $\rightarrow (2, 0)$  end return  $\boxed{2}$ .

How do we know that Euclid's Algorithm stops?

$(a, b)$   $b = r_0$   $a = r_{-1}$   
 $(b, r_1)$  By Div. Theorem,  $r$  is decreasing, so  
 $(r_1, r_2)$   $r_{-1} \geq r_0 > r_1 > r_2 > \dots$   
 $\vdots$   $\therefore$  Algorithm terminates in finite time:  
 $\leq \underline{b}$  steps.

This is not very efficient for large #s ...  
 but the algorithm moves faster than this.

HW Prove: # iterations in "efficient" Euclid's algorithm is  $\leq 1 + 2 \log_2 b$ .

(Proof should be no more than 3 lines.)

DO Euclid's algorithm for polynomials.

(You can find a version of Euclid's algorithm for anything with a Division Theorem.)

Def.  $\mathbb{F} \subseteq \mathbb{C}$  is a number field if

$0, 1 \in \mathbb{F}$  and  $\mathbb{F}$  is closed under  $+, -, \times, \div$

(other than by 0)

Ex.  $\mathbb{C}, \mathbb{R}, \mathbb{Q}$  (rational #s)

Is  $\{0, 1\}$  a number field?

no:  $1+1=2$  : not closed under addition

$$\{a+bi \mid a, b \in \mathbb{Q}\}$$

$$a_0 + a_1 i + a_2 i^2 + a_3 i^3 + \dots$$

$$= \mathbb{Q}[i]$$

If  $\mathbb{F}$  is a number field and  $\alpha \in \mathbb{C}$  then

$$\mathbb{F}[\alpha] = \{f(\alpha) \mid f \in \mathbb{F}[X]\}$$

↑ polynomials over  $\mathbb{F}$

(ie. if  $f \in \mathbb{F}[X]$  and  $f = a_0 + a_1 X + \dots + a_n X^n$

then  $a_i \in \mathbb{F} (\forall i \in [n])$ )

(DO)  $\mathbb{Q}[i]$  is a number field. ( $\mathbb{Q}[i]$  are called the "Gaussian rationals")  
 (NTS:  $\frac{1}{a+bi}$  has same form.)

(DO)  $\mathbb{Q}[\sqrt{2}] = \{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\}$

This is a number field.

(Note:  $(\sqrt{2})^3 = 2\sqrt{2}$ ,  $(\sqrt{2})^4 = 4$ , etc.)

(DO\*)  $\mathbb{Q}[\sqrt[3]{2}] = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} \mid a, b, c \in \mathbb{Q}\}$   
 is a number field.

(HW)  $\mathbb{Q}[\pi]$  is not a number field.

Hint:  $\frac{1}{\pi} \notin \mathbb{Q}[\pi]$  and use the fact that

$\pi$  is transcendental (not algebraic)

Def.  $\alpha \in \mathbb{C}$  is an algebraic number if

$(\exists f \in \mathbb{Q}[t]) (f \neq 0 \text{ and } f(\alpha) = 0)$

Ex.  $i$ :  $f = t^2 + 1$       $\sqrt[3]{2}$ :  $f = t^3 - 2$

$\sqrt{2}$ :  $f = t^2 - 2$

Thm. (Hard!)  $\pi$  is transcendental.

Note:  $\mathbb{Z}$  not closed under division.

(DO) If  $\mathbb{F}$  is a number field, then  $\mathbb{Q} \subseteq \mathbb{F}$ .  
we can do linear algebra over  $\mathbb{F}$  - number fields.  
"scalars"

[HW] Over  $\mathbb{C}$ ,  $\text{rk}(A^T A) = \text{rk}(A)$  is not always true.

(Find a counterexample - as simple as possible.)

Suppose  $\mathbb{F}, \mathbb{G}$  are number fields s.t.  $\mathbb{F} \subseteq \mathbb{G}$ .  
Then  $\mathbb{G}$  is an extension field of  $\mathbb{F}$ .

Ex.  $\mathbb{C}$  is an extension field of every number field.

$\mathbb{G}$  can be viewed as a vector space over  $\mathbb{F}$ .

[HW]  $1, \sqrt{2}, \sqrt{3}$  are lin. indep. over  $\mathbb{Q}$ .

[CH]  $\{\sqrt{p} \mid p \text{ prime}\}$  is lin. indep. over  $\mathbb{Q}$ .



**HW**  $\dim_{\mathbb{Q}}(\mathbb{R}) = \infty$

(Proof: 2-3 lines.)

Estados Unidos  
EEUU

$$\dim_{\mathbb{R}}(\mathbb{C}) = 2$$

$$\dim_{\mathbb{F}}(\mathbb{F}) = 1$$

**CCHH** If  $\dim_{\mathbb{F}}(\mathbb{C})$  is finite, then  $\mathbb{F} = \mathbb{R}$  or  $\mathbb{C}$ .

**DO**  $\dim_{\mathbb{Q}}(\mathbb{Q}[\sqrt{-1}]) = 2$

$$\dim_{\mathbb{Q}}(\mathbb{Q}[\sqrt{2}]) = 2$$

$$\dim_{\mathbb{Q}}(\mathbb{Q}[\sqrt[3]{2}]) = 3$$

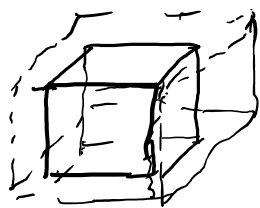
**DO\***  $\mathbb{Q}[\sqrt{2}, \sqrt{3}] = \{f(\sqrt{2}, \sqrt{3}) \mid f(x, y) \in \underbrace{\mathbb{Q}[x, y]}_{\text{polynomials in 2 vars.}}\}$

Prove: number field and  
find  $\dim$  over  $\mathbb{Q}$ .

**DO** If  $\mathbb{F} \subset \mathbb{G} \subset \mathbb{H}$  then  
number fields

$$\dim_{\mathbb{F}} \mathbb{H} = (\dim_{\mathbb{F}} \mathbb{G})(\dim_{\mathbb{G}} \mathbb{H}).$$

Consequence: Cube cannot be doubled.



(Ancient Greek problem - given a cube, construct a cube using straightedge and compass with double the volume.)

Can you construct  $\sqrt[3]{2}$  from 1?

we can construct numbers from a chain of field extensions

$$\mathbb{Q} = \mathbb{F}_0 \subset \mathbb{F}_1 \subset \dots \subset \mathbb{F}_k$$

$$\dim_{\mathbb{F}_i} \mathbb{F}_{i+1} = 2, \quad \therefore \dim_{\mathbb{Q}} \mathbb{F}_k = 2^k$$

Claim  $\sqrt[3]{2} \notin \mathbb{F}_k$ .

Suppose  $\sqrt[3]{2} \in \mathbb{F}_k$ .

Then  $\mathbb{Q} \subset \mathbb{Q}[\sqrt[3]{2}] \subset \mathbb{F}_k$ .

$$\dim_{\mathbb{Q}} \mathbb{Q}[\sqrt[3]{2}] = 3 \quad \text{and} \quad \dim_{\mathbb{Q}[\sqrt[3]{2}]} \mathbb{F}_k = s, \quad \text{so}$$

$$2^k = 3 \cdot s \rightarrow \text{a contradiction.} \quad \square$$

$$\begin{bmatrix} 1 & 2 & 3 \\ 6 & 9 & 17 \\ -1 & 0 & 55 \end{bmatrix}$$

What is the rank of this matrix?

- over what field?

It doesn't matter --  $\text{rk}_{\mathbb{F}} A$  is the same for all number fields.

**HW**

Let  $\mathbb{F} \subset \mathbb{G}$  be number fields.

$A \in \mathbb{F}^{k \times l}$ . Prove:  $\text{rk}_{\mathbb{F}}(A) = \text{rk}_{\mathbb{G}}(A)$

OR Example (solve for full credit:)

Let  $A \in \mathbb{Q}^{k \times l}$ . Prove:  $\text{rk}_{\mathbb{Q}}(A) = \text{rk}_{\mathbb{R}}(A)$ .

**HW**

$$\gcd(2^k - 1, 2^l - 1) = 2^d - 1 \quad \text{where}$$

$$d = \gcd(k, l).$$

Def

Fibonacci numbers:

$$F_0 = 0, \quad F_1 = 1, \quad F_n = F_{n-1} + F_{n-2}$$

0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, ...

**DO**  $\lim_{n \rightarrow \infty} \frac{F_{n+1}}{F_n} = \text{golden ratio} = \frac{1+\sqrt{5}}{2}$

Béla Bartók - Concerto - 89 beats - 55 in reverse,  
(based on Fibonacci #'s) 34 deer.

Golden Ratio:  ratio of — to — is the Golden Ratio

[HW]  $\gcd(F_{n+1}, F_n) = 1$

[CH] (a)  $k \mid \ell \Rightarrow F_k \mid F_\ell$

(b)  $(\forall k, \ell) (\gcd(F_k, F_\ell) = F_d \text{ where } d = \gcd(k, \ell))$

(Hint: for elegant solution, look at powers of  $\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$ .)

Euler's  $\varphi$  function ("totient function")

$$\varphi(n) = |\{i \mid 1 \leq i \leq n, \underbrace{\gcd(i, n) = 1}_{\text{relatively prime}}\}|$$

$$\varphi(1) = 1 \text{ (just 1)}$$

$$\varphi(6) = 2 \text{ (1, 5)}$$

$$\varphi(2) = 1 \text{ (just 1)}$$

$$\varphi(p) = p - 1 = p(1 - \frac{1}{p}) \leftarrow \begin{matrix} (1, 2, \dots, \\ p-1) \end{matrix}$$

$$\varphi(3) = 2 \text{ (1, 2)}$$

$$\varphi(p^2) = p^2 - p = p^2(1 - \frac{1}{p})$$

$$\varphi(4) = 2 \text{ (1, 3)}$$

$$\varphi(p^k) = p^k - p^{k-1} = p^k(1 - \frac{1}{p})$$

$$\varphi(5) = 4 \text{ (1, 2, 3, 4)}$$

(DO) The  $\varphi$  function is multiplicative : if

$$\gcd(a, b) = 1 \quad \text{then} \quad \varphi(a, b) = \varphi(a) \varphi(b).$$

$\therefore$  If  $n = p_1^{k_1} \cdots p_s^{k_s}$  where  $p_i$  are distinct primes,

$$\text{then} \quad \varphi(n) = n \prod \left(1 - \frac{1}{p_i}\right).$$

[CH]  $A = (\gcd(i, j))_{n \times n}$

Prove :  $\det A = \varphi(1) \varphi(2) \cdots \varphi(n).$

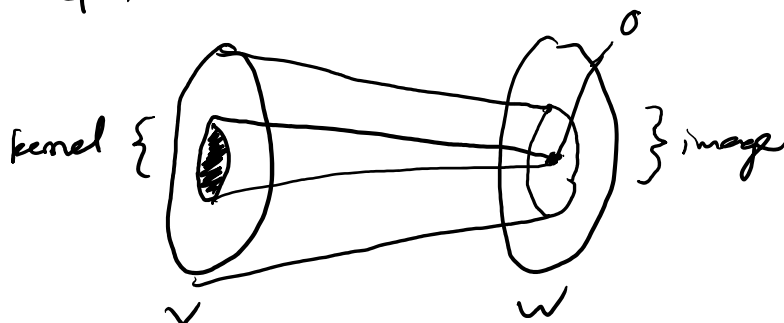
Al Biruni - born in the Persian province of Kwarizm

Linear map:  $\varphi: V \rightarrow W$  (over  $\mathbb{F}$ )

Thm If  $\dim_{\mathbb{F}} V = k$ , then  $V \cong \mathbb{F}^k$ .

Kernel of  $\varphi$ :  $\ker \varphi = \varphi^{-1}(0) = \{v \in V \mid \varphi(v) = 0\}$

Image of  $\varphi$ :  $\text{im } \varphi = \{\varphi(v) \mid v \in V\}.$



(Do) Prove:  $\ker(\varphi) \leq V$  (subspaces)  
 $\operatorname{im}(\varphi) \leq W$

Thm. (Rank-Nullity Thm)

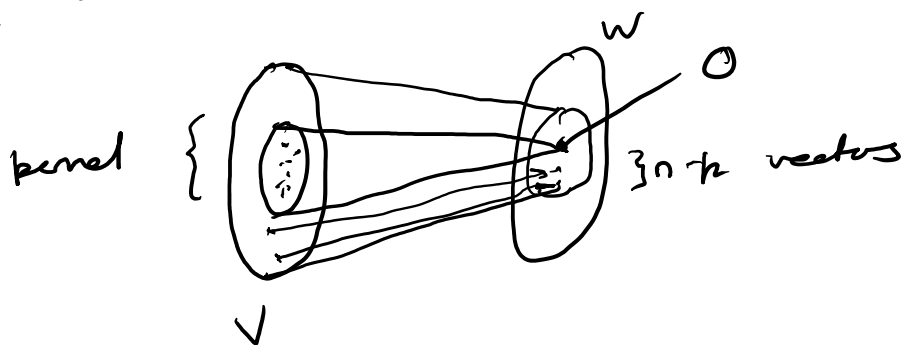
$$\dim(\ker \varphi) + \dim(\operatorname{im} \varphi) = \dim V.$$

Def  $\operatorname{rk}(\varphi) := \dim(\operatorname{im} \varphi)$

$\operatorname{nullity}(\varphi) := \dim(\ker \varphi)$

(Do)  $\operatorname{rk}(\varphi) = \operatorname{rk}([\varphi]_{\underline{e}, \underline{f}})$  where  $\underline{e}$  = basis in  $V$   
 $\underline{f}$  = basis in  $W$ .

Proof. (of rank-nullity thm)



Let  $\dim(\ker \varphi) = k$  and  $\dim V = n$ . Pick  
 $e_1, e_2, \dots, e_k$  : basis of  $\ker \varphi$ . Extend this  
to a basis  $e_1, e_2, \dots, e_n$  of  $V$ .

$f_j = \varphi(e_{k+j})$  (note  $\varphi(e_i) = 0$  if  $i \leq k$ .)

Claim:  $f_1, \dots, f_{n-k}$  is a basis of  $\text{im}(\varphi)$ .

(DO) Verify  $\text{span}(f_1, \dots, f_{n-k}) = \text{im} \varphi$ .

(DO) Prove:  $f_1, \dots, f_{n-k}$  are lin indep

Conclusion:  $\dim(\text{im} \varphi) = n - k$ . □

$$\{x \in \mathbb{F}^l \mid Ax = 0\} \quad A \in \mathbb{F}^{k \times l}$$

$$= \ker A$$

$$\left. \begin{array}{c} k \\ \boxed{\phantom{0000000000}} \cdot l \\ l \end{array} \right\}$$

# eqns:  $k$

# unknowns:  $l$

View  $A$  as a linear map  $\mathbb{F}^l \rightarrow \mathbb{F}^k$ :

$$\begin{array}{ccc} \underline{x} & \xrightarrow{\quad} & A\underline{x} \\ & \uparrow & \\ & \text{mapsto} & \end{array}$$

$$\text{im } A = \{Ax \mid x \in \mathbb{F}^l\}$$

$$\dim(\ker A) = l - \text{rk}(A)$$

set of  
solutions  
of homogeneous  
lin. system  
of  
equations.

(DO) Prove  $\text{rk } A = \dim \text{im } A$ .

$$\begin{bmatrix} x_1 \\ \vdots \\ x_l \end{bmatrix} \quad \begin{aligned} a_{11}x_1 + \dots + a_{1l}x_l &= 0 \\ a_{21}x_1 + \dots + a_{2l}x_l &= 0 \\ a_{31}x_1 + \dots + a_{3l}x_l &= 0 \\ \vdots \end{aligned}$$

reduce a  
degree of  
freedom  
with each  
new equation  
(unless lin. dep.)

$$\dim(\text{space of sol's}) = l - \underline{k}$$

if eqn's lin. indep

John von Neumann  
(formalized quantum mechanics; driver of computer +  
nuclear projects)

DO (Modular identity)

If  $U, W \subseteq V$ , then  $\dim(U \cap W) + \dim(U + W) = \dim(U) + \dim(W)$ .

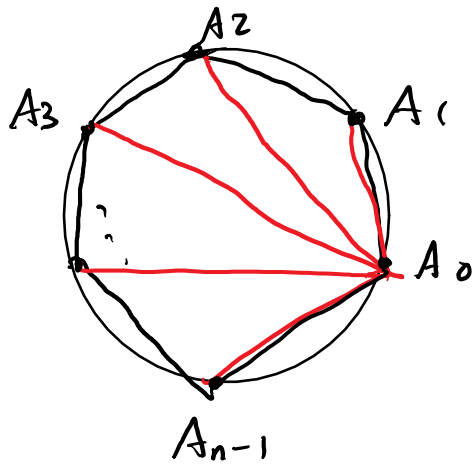
DO  $U + W = \text{span}(U, W)$ .

(Note  $U + W := \{u + w \mid u \in U, w \in W\}$ .)

DO Cor. Let  $n = \dim V$ . If  $\dim U + \dim W > n$ ,

then  $U \cap W \neq \{0\}$ .



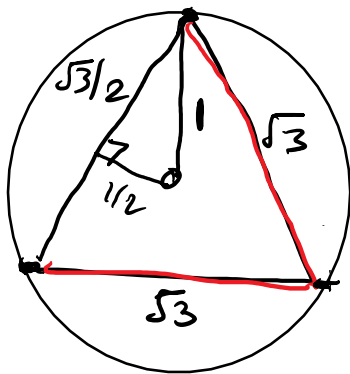


unit circle

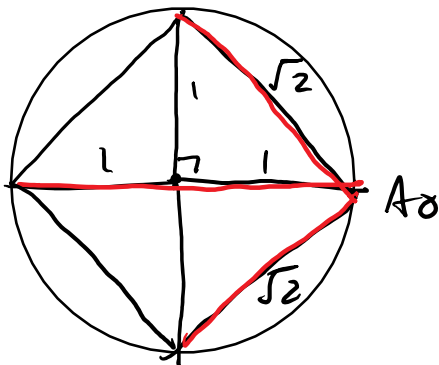
$$\boxed{\text{CH}} \quad \prod_{i=1}^{n-1} \underbrace{A_0 A_i}_{\text{length}} = n$$

(Proof 5 lines)

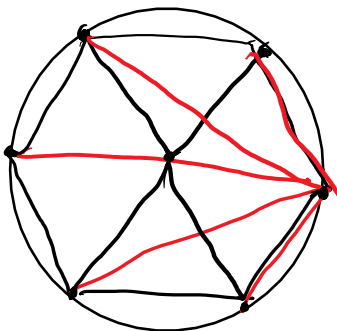
$n=3$



$$n=3 \quad \sqrt{3} \cdot \sqrt{3} = 3$$



$$n=4 \quad \sqrt{2} \cdot 2 \cdot \sqrt{2} = 4$$



$$n=6 \quad 1 \cdot \sqrt{3} \cdot 2 \cdot \sqrt{3} \cdot 1 = 6$$

Monic polynomials over  $\mathbb{C}$

$$f(t) = a_0 + a_1 t + \dots + a_n t^n \quad \underline{\underline{a_n = 1}}$$

$$= (t - \alpha_1)(t - \alpha_2) \dots (t - \alpha_n)$$

$\alpha_i \in \mathbb{C}$  roots

(not necessarily distinct)

Coefficients vs. roots

$$a_0 = (-1)^n \prod \alpha_i = f(0)$$

$$a_{n-1} = -\sum \alpha_i$$

$$a_{n-2} = \alpha_1 \alpha_2 + \alpha_1 \alpha_3 + \dots = \sum_{i < j} \alpha_i \alpha_j$$

$$a_{n-3} = -\sum_{i < j < k} \alpha_i \alpha_j \alpha_k$$

$\vdots$

$$\sigma_1(x_1, \dots, x_n) = x_1 + \dots + x_n$$

$$\sigma_2(x_1, \dots, x_n) = \sum_{i < j} x_i x_j$$

$$\sigma_n = \prod_{i \in [n]} x_i$$

$$\sigma_3(x_1, \dots, x_n) = \sum_{i < j < k} x_i x_j x_k$$

# of terms in  
 $\sigma_i : \binom{n}{i}$

Thm  $a_{n-i} = (-1)^i \sigma_i(\alpha_1, \dots, \alpha_n)$

Do

[HW] Pirate's treasure.

$$f \in \mathbb{R}[x]$$

$$f(x) = x^{100} + 5x^{99} + 13x^{98} + \dots$$

Prove: not all roots are real.

(2 lines).