

Let  $\mu(n)$  be the sum of the primitive  $n^{\text{th}}$  roots of unity.

[HW] (1) evaluate  $\mu(1), \mu(2), \dots, \mu(6), \mu(p)$  with  $p$  prime.

(2) Prove:  $(\forall n)(\mu(n) \in \mathbb{Z})$

[CH] Prove:  $(\forall n)(\mu(n) \in \{0, \pm 1\})$

Hint for HW from yesterday:

det (circulant)

$$C(a_0, a_1, \dots, a_{n-1}) = \begin{pmatrix} a_0 & a_1 & \dots & a_{n-1} \\ a_{n-1} & a_0 & a_1 & \dots \\ \vdots & \vdots & \ddots & \vdots \\ a_1 & a_2 & \dots & a_{n-1} & a_0 \end{pmatrix}$$

$$= \prod (\text{linear forms in the } a_i)$$

$$\wedge \alpha_0 a_0 + \dots + \alpha_{n-1} a_{n-1} \quad \alpha_i \in \mathbb{C}.$$

$$n=2 \quad \begin{vmatrix} a_0 & a_1 \\ a_1 & a_0 \end{vmatrix} = a_0^2 - a_1^2 = (a_0 + a_1)(a_0 - a_1).$$

Hint.  $C(a_0, a_1, \dots, a_n)$  is a polynomial of the cyclic shift matrix.

$$e_0 \mapsto e_1 \mapsto \dots \mapsto e_{n-1} \mapsto e_0$$

$$\begin{pmatrix} 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$

$$\varphi: V \rightarrow V \quad \dim = n$$

all  $n$  eigenvalues are distinct

HW What is the # of  $\varphi$ -invariant subspaces?  
(Prove your answer - should be very simple function of  $n$ .)

T/F - If  $A \in M_n(\mathbb{R}) \Rightarrow A \sim \nabla \in M_n(\mathbb{R})$ .

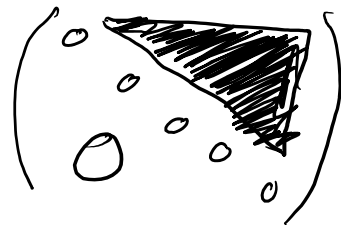
No. If  $A \sim \nabla \in M_n(\mathbb{R})$ , then eigenvalues must be real (along diagonal), so any matrix that has a non-real eigenvalue will not work (e.g. the rotation matrix.)

(Do)  $A \in M_n(\mathbb{R})$  and all eigenvalues are real  $\Rightarrow$   
 $A \sim \begin{matrix} \text{shaded triangle} \end{matrix} \in M_n(\mathbb{R})$

This holds for any number field  $\mathbb{F}$ :  
 If  $A \in M_n(\mathbb{F})$  and all eigenvalues  $\in \mathbb{F} \Rightarrow$   
 $A \sim \begin{matrix} \text{shaded triangle} \end{matrix} \in M_n(\mathbb{F})$ .

Def.  $N \in M_n(\mathbb{F})$  is nilpotent if  $(\exists k)(N^k = 0)$ .

(Do) Every strictly upper triangular matrix is nilpotent.



[HW]  $N$  is nilpotent  $\Leftrightarrow$   
 $f_N(t) = t^n$ .

[HW]  $N$  is nilpotent  $\Leftrightarrow N \sim$  strictly upper triangular matrix.

(do not use 2<sup>nd</sup> for 1<sup>st</sup>)

[HW] If  $N$  is nilpotent, then  $I + N$  is nonsingular.

**HW** Find a nilpotent  $2 \times 2$  matrix  $N$  and nonsingular diagonal matrix  $D$  s.t.  
 $D + N$  is singular.

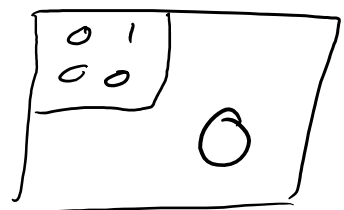
(A diagonal matrix is nonsingular if all the diagonal elements are nonzero)

**DO** If  $N$  is nilpotent,  $N^n = 0$ .

Thm. If  $A \in M_n(\mathbb{C})$  then

$A \sim \text{diag}(B_1, \dots, B_k)$

proto-Jordan normal form

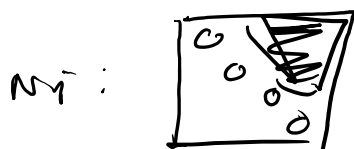


$$N^2 = 0.$$

↓  
 block-diagonal matrix -  
 diagonal blocks are  
 square and of the  
 form

$$B_i = \lambda_i I + N_i$$

where  $N_i$  is strictly upper triangular



$$\lambda_i \neq 0.$$

$$B_i = \begin{pmatrix} \lambda_i & & X \\ & \ddots & \\ 0 & & \lambda_i \end{pmatrix}$$

Def. (direct sum of subspaces)

$$V = U_1 \oplus U_2 \quad U_i \subseteq V \quad i = 1, 2$$

if  $(\forall v \in V)(\exists! u_1, u_2)(u_i \in U_i \text{ and } v = u_1 + u_2)$

in particular  $V = U_1 + U_2$ .

e.g.  $G_3$ : xy-plane and z-axis.

(DO)  $V = U_1 \oplus U_2 \iff V = U_1 + U_2 \text{ and } U_1 \cap U_2 = \{0\}.$

(DO) If  $U_1 \oplus U_2 = V$  then  
 $\dim V = \dim U_1 + \dim U_2$

Proof. Pick bases of  $U_1, U_2$  and show  
 these combined form a basis of  $V$ .

Def.  $V = U_1 \oplus \dots \oplus U_k \quad U_i \subseteq V \quad \forall i \in [k]$   
 if  $(\forall v \in V)(\exists! u_1, \dots, u_k)(u_i \in U_i \text{ and } v = \sum_{i \in [k]} u_i)$ .

(DO) If  $V = \bigoplus_{i=1}^k U_i$  then  $\dim V = \sum_{i=1}^k \dim U_i$ .

DO

Wednesday, July 12, 2017

10:05 AM

$$V = U_1 \oplus \dots \oplus U_k \iff$$

$$(1) \quad V = U_1 + U_2 + \dots + U_k$$

(2) ?

Intersection  $0$ ?  
 $xy, yz, xz$  planes  
but only need

intersect only at  $0$ .  
2 distinct planes  
to create space

Pairwise intersection  $0$ ?  
3 different lines have  
 $0$  but only need

pairwise intersection  
2 lines on  $U_2$

$$(2) \quad (\forall i) \left( U_i \cap \sum_{\substack{j \\ j \neq i}} U_j = \{0\} \right)$$

HW

$$\varphi: V \rightarrow V$$

$$U_\lambda = \{x \in V \mid \varphi(x) = \lambda x\}$$

eigensubspace to  $\lambda$

$$\text{Then } \sum_{\lambda} U_{\lambda} = \bigoplus_{\lambda} U_{\lambda}.$$

(verify second condition from above.)

(DO)  $A \in M_n(\mathbb{F})$  is diagonalizable  $\Leftrightarrow \sum u_\lambda = \mathbb{F}^n$ .

Lemma If  $f = g \cdot h$  with  $\gcd(g, h) = 1$ ,

$\varphi: V \rightarrow V$  and  $f(\varphi) = 0$ , HW (for Monday.)

Then  $V = \text{Ker}(g(\varphi)) \oplus \text{Ker}(h(\varphi))$

(Ask for a hint on Friday.)

(Pre-hint: uses a fact about gcd.)

Predicate over a set  $\Omega$ :

$f: \Omega \rightarrow \{0, 1\}$

1	Yes	True
0	No	False

A relation over  $\Omega$  is a predicate over  $\Omega \times \Omega$ :

Examples 1  $\Omega = \mathbb{R}$   $f(x, y) = \begin{cases} 1 & \text{if } x < y \\ 0 & \text{o/w} \end{cases}$

$<(x, y) = 1$  ↓ similar

$\Omega = \text{geometric shapes}$

$f(x, y) = \begin{cases} 1 & \text{if } x \sim y \\ 0 & \text{o/w} \end{cases}$

$\sim(x, y) = 1$ .

$\Omega = \{\text{humans}\}$

"x is a parent of y"

Properties of some relations  $R \rightarrow xRy$

Reflexive:  $(\forall x)(xRx)$

ex:  $\leq, \sim, \text{parent}$

Symmetric:  $(\forall x, y)(xRy \Rightarrow yRx)$

ex:  $\neq, \sim, \text{parent}$

Transitive:  $(\forall x, y, z)(xRy \text{ and } yRz \Rightarrow xRz)$

ex:  $\leq, \sim, \text{parent}$  (ancestor  $\checkmark$ )

Irreflexive:  $(\forall x)(x \not R x)$

irreflexive, } graph (adjacency relation)  
symmetric

Def  $R$  is an equivalence relation if  
reflexive, symmetric, and transitive.

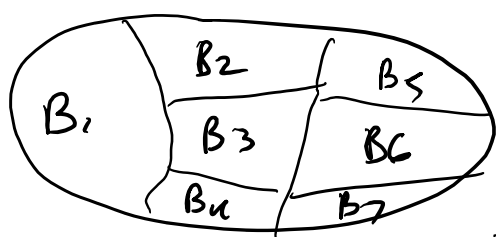
$R$  is similarity ( $\sim$ ), having the same parents  
ex: "sibling or equal",

residing in the same state.



Partition of a set  $\Omega$ :

$\Omega = B_1 \cup \dots \cup B_k$  into disjoint blocks:  
 $B_i \cap B_j = \emptyset \quad \forall i, j, i \neq j$  and  $B_i \neq \emptyset \quad \forall i$



$\pi = \{B_1, \dots, B_k\}$   
 $\uparrow$   
 partition      blocks of partition

"Partition of Poland" - Russia, Germany, Austria

$\pi$ : partition of  $\Omega$

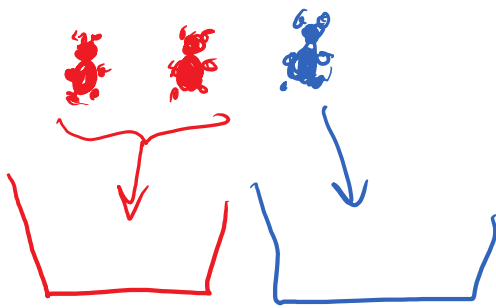
$\rightarrow$  defines an equivalence relation  $\sim_\pi$

Suppose  $\pi = \{B_1, \dots, B_k\}$

Def.  $x \sim_\pi y \quad (x, y \in \Omega)$  if  $(\exists i)(x, y \in B_i)$ .

Thm. (Fundamental Theorem of Equivalence Relations --  
 $\forall$  eq. relation  $R$  over  $\Omega$ ,  $\exists!$  partition  $\pi$  of  
 $\Omega$  s.t.  $R = \sim_\pi$ .

and of  
 human concept  
 forming.)



Every equivalence relation creates a new concept

3 : { 3 cars, 3 trees, -- }

mother: { my mother, your mother, his mother -- }

Rational number :  $\frac{3}{5}$   $\frac{6}{10}$   
 $(3, 5)$   $(6, 10)$

$\frac{a}{b} \sim \frac{c}{d}$  if  $ad = bc$ .

(DO) Prove this is an equivalence relation on pairs  $(a, b)$  s.t.  $b \neq 0$ .

Equivalence classes of pairs are the rationals.

↳ Blocks of the partition that correspond to this eq. relation.

Def  $a \equiv b \pmod{m}$  ( $a \text{ equiv } b \pmod{\{m\}}$ )  
 $\mathbb{Z}$   $\uparrow$   
 congruent / congruence if  $m | a - b$ .

(Do) Fix  $m$ . Then  $\pmod{m}$  congruence is an equivalence relation on  $\mathbb{Z}$ .

Residue classes  $\pmod{m}$ : equivalence classes.

$\pmod{2}$  residue classes:  $\mathbb{Z} = \underbrace{2\mathbb{Z}}_{\text{evens}} \cup \underbrace{2\mathbb{Z} + 1}_{\text{odds}}$

$\pmod{3}$  residue classes:  $\mathbb{Z} = 3\mathbb{Z} \cup 3\mathbb{Z} + 1 \cup 3\mathbb{Z} + 2$

# residue classes  
 $\pmod{m}$  is  $|m|$ .

-6	-5	-4
-3	-2	-1
0	1	2
3	4	5
6	7	8

(Note:  $x \equiv y \pmod{0} \Leftrightarrow x = y$ ,  
 so each # forms its own class — the  
 definition above works if we think of 0  
 as infinity.)

DO  $(x+y)^p \equiv x^p + y^p \pmod{p}$  ( $p$  prime.)

Back to proof of Thm

Thm (proof - Jordan normal form)

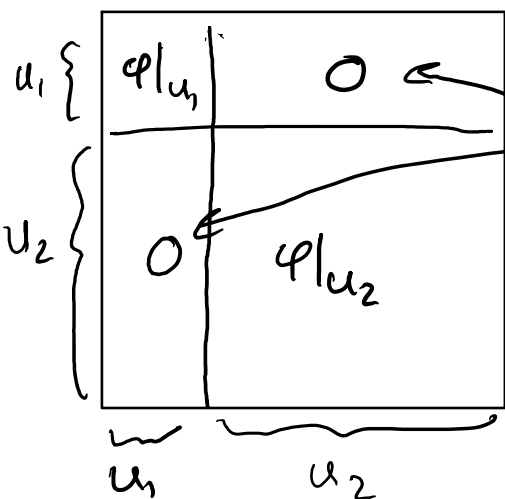
$$A \in M_n(\mathbb{C}) \Rightarrow A \sim \begin{pmatrix} B_1 & & 0 \\ & \ddots & \\ 0 & & B_k \end{pmatrix}$$

where  $B_i$  is  $n_i \times n_i$

and  $B_i = \lambda_i I_{n_i} + N_i$   $\uparrow$  strictly upper triangular

Proof.  $\dim_{\mathbb{C}} V = n$  and  $[\varphi]_{\underline{e}} = A$ .

$$V = U_1 \oplus U_2$$



$$\varphi: V \rightarrow V$$

$U_1$  and  $U_2$   $\varphi$ -invariant

$$\varphi = \varphi_1 \oplus \varphi_2$$

where  $\varphi_i = \varphi|_{U_i}$

$$\varphi(u_1 + u_2) = \varphi_1(u_1) + \varphi_2(u_2)$$

$f_\varphi := f_{[\varphi]_g}$  where  $g$  is any basis (does not depend on basis b/c similar matrices have same char. poly.)

$$= \prod (t - \lambda_i)^{n_i}$$

↑  
algebraic multiplicities

$$= \underbrace{(t - \lambda_1)^{n_1}}_g \cdot \underbrace{\prod_{j \neq 1} (t - \lambda_j)^{n_j}}_h$$

$g, h$  rel prime - no common roots

$$f_\varphi(\varphi) = 0 \quad \checkmark$$

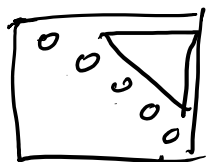
$$U_1 = \ker(g(\varphi))$$

$$\varphi|_{U_1} = \varphi_1 \rightarrow (\varphi_1 - \lambda_1 I)^{n_1} = 0 \Rightarrow \text{on } U_1:$$

↑  
def of kernel:  $\varphi_1 - \lambda_1 I$  is nilpotent

$$[\varphi_1 - \lambda_1 I]_{f_1} =$$

↑  
new basis of  $U_1$



$$[\varphi]_{\underline{f}} = \lambda_1 I + N_1 = B_1$$

By induction on # of distinct eigenvalues, we are done  $\square$

HW (for Mon):

$A \in M_n(\mathbb{C})$  w/ eigenvalues  $\lambda_1, \dots, \lambda_n$ .

Prove: if  $(\forall i)(|\lambda_i| < 1)$  then

$$\lim_{k \rightarrow \infty} A^k = 0.$$

Ring: set  $R$  with  $+$ ,  $\cdot$ , normal properties

$(R, +)$  abelian group

(associativity,  
distributivity, ...)

$(R, +, \cdot)$   $\cdot$  assoc.  
distributes over addition

$\mathbb{Z}$  is a ring.  $(\forall a, b)$

Commutative ring:  $a \cdot b = b \cdot a$

$M_n(\mathbb{R})$  is a  
non-commutative  
ring

Ring with identity:

$\exists 1$  s.t.  $(\forall a \in R)(1 \cdot a = a \cdot 1 = a)$

$2\mathbb{Z}$  is a ring without identity.

DO  $0 \cdot a = a \cdot 0 = 0$ .

An integral domain is a commutative ring  
s.t.  $(\forall a, b) (ab = 0 \Leftrightarrow a = 0 \text{ or } b = 0)$ .

$\mathbb{Z} \text{ mod } 6$  is not an integral domain.

$2 \cdot 3 = 0$ .

$M_n(\mathbb{F})$  not either:  $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}^2 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$ .

A Field  $\mathbb{F}$  is a commutative ring with identity  
 $1 \neq 0$

s.t.  $(\forall a \in \mathbb{F}) (a \neq 0 \Rightarrow \exists \frac{1}{a})$

$a^{-1} \uparrow$  multiplicative inverse

Cor  $\mathbb{F}^\times = \mathbb{F} \setminus \{0\}$

$(\mathbb{F}^\times, \times)$  is an abelian group.  
 $\uparrow$   
multiplication

Ex. number fields, integers mod  $p$  ( $p$  prime)

HW (for Mon.) If  $R$  is a finite integral domain with  $|R| \geq 2$ , then  $R$  is a field

Notation: If  $\pi$  is a partition of  $\Omega$  then  $\Omega/\pi$  is a set of blocks (eq. classes).  
If  $R$  is an equivalence relation on  $\Omega$  then  $\Omega/R$  is a set of blocks (eq. classes)

$\mathbb{Z}/m\mathbb{Z}$  set of mod  $m$  residue classes.

(eq. relation: congruence mod  $m$ ) for  $m \geq 1$ :

$$|\mathbb{Z}/m\mathbb{Z}| = m.$$

$\forall x \in \Omega$ ,  $[x]$  is the equivalence class of  $x$ .

Define  $+$  on  $\mathbb{Z}/m\mathbb{Z} \rightarrow$  commutative ring w/ identity

by representatives

$$a \in \mathbb{Z} \rightarrow [a] = a + m\mathbb{Z} \quad (\text{residue class of } a; \text{ } a \text{ is a representative})$$



mod 7

-7	-6	-5	-4	-3	-2	-1
0	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20

$\uparrow$   $(2 + 7\mathbb{Z}) = (9 + 7\mathbb{Z})$ 
 $\nwarrow (-3 + 7\mathbb{Z}) = (11 + 7\mathbb{Z})$

$$2 + 7\mathbb{Z} = 9 + 7\mathbb{Z} \quad \star$$

$$\oplus \quad -3 + 7\mathbb{Z} = 11 + 7\mathbb{Z} \quad \star$$

$$-1 + 7\mathbb{Z} = 20 + 7\mathbb{Z} \quad \star$$

If  $a \equiv b \pmod{m}$  and  $u \equiv v \pmod{m}$ ,

then:

- $a + u \equiv b + v \pmod{m}$
- $a \cdot u \equiv b \cdot v \pmod{m}$

This defines arithmetic on residue classes.

(Do)  $\mathbb{Z}/m\mathbb{Z}$  forms a commutative ring with identity.

(Do)  $\mathbb{F}$  field  $\Rightarrow \mathbb{F}$  integral domain.

NTS:  $ab=0 \Rightarrow a=0$  or  $b=0$ .

Suppose  $a \neq 0$ , NTS  $b=0$ .

multiply by  $a^{-1}$ :

$$a^{-1}ab = a^{-1} \cdot 0$$

$$b = a^{-1}(ab) = a^{-1} \cdot 0 = 0$$

□

When is  $\mathbb{Z}/m\mathbb{Z}$  an integral domain?  
if  $m$  is prime.

Ex.  $\mathbb{Z}/6\mathbb{Z}$ :  $2 \cdot 3 = 0$ .

$\mathbb{Z}/p\mathbb{Z}$  is integral domain?

$$a \in \mathbb{Z}$$

$\underline{a}$ : residue class  $a + p\mathbb{Z}$ .

Suppose  $\underline{a} \cdot \underline{b} = \underline{0}$ .

NTS:  $\underline{a} = \underline{0}$  or  $\underline{b} = \underline{0}$ .

$$e, a \in \mathbb{Z}, \underline{a} = a + m\mathbb{Z}$$

$$e \in \underline{a} \Leftrightarrow$$

$$e \equiv a \pmod{m}$$

$$e \in \underline{0} \Leftrightarrow$$

$$e \equiv 0 \pmod{m}$$

$$\Leftrightarrow m \mid e$$

Note  $\boxed{\underline{a} \cdot \underline{b} = \underline{a \cdot b}}$  (definition of multiplication of residue classes)

$$\text{So } \underline{a} \cdot \underline{b} = \underline{0} \iff ab \in \underline{0} \iff m \mid ab$$

NTS: for  $m=p$ ,  $\underline{a} \cdot \underline{b} = \underline{0}$  then  $\underline{a} \text{ or } \underline{b} = \underline{0}$ .

i.e.  $p \mid ab \implies p \mid a \text{ or } p \mid b$ .

(True - prime  $\#$ s have the prime property.)

So then  $a \in \underline{0}$  or  $b \in \underline{0}$ .

Thus  $\underline{a} = \underline{0}$  or  $\underline{b} = \underline{0}$ . □

$\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$  Finite field of order  $p$ . → # of elements

Thm If  $\mathbb{K}$  is a finite field, then

$|\mathbb{K}|$  is a prime power

Let  $R$  be an integral domain,  $|R| \geq 2$ .

For  $a \in R$  let  $\underbrace{\tau_a = \gcd(\underbrace{k \mid ka = 0}_{a+at+\dots+ta})}_{k \text{ times.}}$

$$\text{Ann}(a) = \{k \in \mathbb{Z} \mid ka = 0\} \leq \mathbb{Z}$$

$\uparrow$  annihilator of  $a$ 
 $\uparrow$  subgroup.

$$\text{Ann}(a) = n_a \mathbb{Z}$$

(DO) For  $a \neq 0$ ,  $n_a$  does not depend on  $a$ .

(DO)  $n_a$  is prime or 0.

$\downarrow$

characteristic of  $R$ .

Ex.  $\text{char}(\mathbb{Z}) = 0$

$$\text{char}(\mathbb{R}) = 0$$

$$\text{char}(\mathbb{C}) = 0$$

$$\text{char}(\mathbb{F}_p) = p \quad (p \text{ prime})$$

[HW] If  $\text{char } \mathbb{F} = p$ , then  $(a+b)^p = a^p + b^p$ .  
( $\mathbb{F}$  integral domain.)

(DO) If  $\text{char } \mathbb{F} = p$ , then  $\mathbb{F} \supseteq \mathbb{F}_p$

$\uparrow$  int domain,  $|\mathbb{F}| \geq 2$ 
 $\uparrow$  subdomain

Proof ( $\mathbb{L}$  finite field  $\Rightarrow |\mathbb{L}|$  is prime power)

$\text{char } \mathbb{L} \neq 0$  if  $\mathbb{L}$  is finite (Do),

so  $\text{char } \mathbb{L} = p$ .

$\Rightarrow \mathbb{L} \supset \mathbb{F}_p$  subfield.

$\therefore \mathbb{L}$  is a vector space over  $\mathbb{F}_p$ .

$$\dim_{\mathbb{F}_p} \mathbb{L} =: d$$

$\mathbb{L}$  as a  $\mathbb{F}_p$ -space  $\cong \mathbb{F}_p^d$

$$\begin{bmatrix} \alpha_1 \\ \vdots \\ \alpha_d \end{bmatrix}$$

□

$$|\mathbb{L}| = |\mathbb{F}_p^d| = p^d$$

Thm. (Galois)

$\forall$  prime power  $q \exists!$  field  $\mathbb{F}_q$  of order  $q$ .

$$p^2 = q \quad \mathbb{F}_q \neq \mathbb{Z}/q\mathbb{Z}$$

$q$  not prime, so  $\mathbb{Z}/q\mathbb{Z}$  is not an integral domain and thus not a field

$$\mathbb{F}_p[\underbrace{\sqrt{-1}}_i] = \{a + bi \mid a, b \in \mathbb{F}_p, i^2 = -1\}$$

commutative ring with identity of order  $p^2$

For what primes is this a field?

- ① experiment
- ② discover pattern
- ③ make conjecture
- ④ prove it. CH

HW (for Mon).